

FORM PCT-1700 (Modified)  
Rev 11-99

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

66409-202-7

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/674978

INTERNATIONAL APPLICATION NO.

PCT/JP00/01469

INTERNATIONAL FILING DATE

10 March 2000

PRIORITY DATE CLAIMED

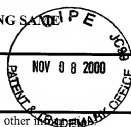
10 March 1999

TITLE OF INVENTION

THERMOELECTRIC CONVERSION MATERIAL, AND METHOD FOR MANUFACTURING SAME

APPLICANT(S) FOR DO/EO/US

SADATOMI, Nobuhiro; YAMASHITA, Osamu; SAIGO, Tsunekazu; NOUMI, Masao



Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ A copy of the International Search Report (PCT/ISA/210).
8. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
10. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

## Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ Certificate of Mailing by Express Mail
20. ☐ Other items or information:

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.492 (a) (1) - (5)) : <div style="font-size: 1.5em; font-weight: bold; margin-left: 40px;">09/674978</div>	INTERNATIONAL APPLICATION NO. <div style="font-weight: bold; margin-left: 40px;">PCT/JP00/01469</div>	ATTORNEY'S DOCKET NUMBER <div style="font-weight: bold; margin-left: 40px;">66409-202-7</div>
---	--	--

21. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :		CALCULATIONS PTO USE ONLY
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....	\$970.00	
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....	\$840.00	
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....	\$690.00	
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....	\$670.00	
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) .....	\$96.00	
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		\$860.00
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <div style="float: right;"> <input type="checkbox"/> 20    <input type="checkbox"/> 30         </div>		\$0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	113 - 20 =	93	x \$18.00	\$1,674.00
Independent claims	6 - 3 =	3	x \$80.00	\$240.00
Multiple Dependent Claims (check if applicable). <input checked="" type="checkbox"/>				\$270.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$3,044.00
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable). <div style="float: right;"><input type="checkbox"/></div>				\$0.00
<b>SUBTOTAL =</b>				\$3,044.00
Processing fee of <b>\$130.00</b> for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <div style="float: right;"> <input type="checkbox"/> 20    <input type="checkbox"/> 30  <div style="text-align: center;">+</div> </div>				\$0.00
<b>TOTAL NATIONAL FEE =</b>				\$3,044.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <div style="float: right;"><input type="checkbox"/></div>				\$0.00
<b>TOTAL FEES ENCLOSED =</b>				\$3,044.00
				Amount to be: refunded \$ charged \$

☐ A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.

☒ Please charge my Deposit Account No. **04-2223** in the amount of **\$3,044.00** to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. \_\_\_\_\_. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Richard H. Tushin, Esq.  
 DYKEMA GOSSETT PLLC  
 Franklin Square, Third Floor West  
 1300 I Street, N.W.  
 Washington, D.C. 20005-3353  
 (202) 522-8600

SIGNATURE

Richard H. Tushin  
 NAME

27,297  
 REGISTRATION NUMBER

November 8, 2000  
 DATE

09/674978

528 Rec'd PCT/PTO 08 NOV 2000

66409-202-7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	PATENT
	)	
Nobuhiro SADATOMI et al.	)	Group: Unknown
	)	
Serial No: (PCT/JA00/01469)	)	Examiner: Unknown
	)	
Filed: Concurrently herewith	)	
	)	
THERMOELECTRIC CONVERSION	)	<u>Box: PCT</u>
MATERIAL, AND A METHOD FOR	)	
MANUFACTURING SAME	)	

\* \* \* \* \*  
PRELIMINARY AMENDMENT

Washington, D.C.  
November 8, 2000

Honorable Director for Patents  
Washington, D.C. 20231

Sir:

Concurrently with the U.S. national phase filing of this  
application, please amend it as follows:

IN THE SPECIFICATION:

Page 22, line 23, change "copper" to --Bi--.

IN THE CLAIMS:

Please amend claims 7-10, 13, 16, 17, 19, 23 and 24 as  
follows:

Claim 7 (Amended), line 1, before "Claims 1 to 6" insert --any  
one of--.

Claim 8 (Amended), line 1, before "Claims 1 to 6" insert --any one of--.

Claim 9 (Amended), line 1, before "Claims 1, 3" insert --any one of--.

10. (Amended) The thermoelectric conversion material according to any one of Claims 1 [through 9] to 6, wherein the material is an ingot quenched from a melt, a sinter, a heat treated laminate, or a material having a porosity of 5 to 40%.

Claim 13 (Amended), line 2, before "Claims 1 to 6" insert --any one of--.

Claim 16 (Amended), line 2, before "Claims 1 to 6" insert --any one of--.

Claim 17 (Amended), line 2, before "Claims 1 to 6" insert --any one of--.

Claim 19 (Amended), line 2, before "Claims 1 to 6" insert --any one of--.

Claim 23 (Amended), line 2, before "Claims 1 to" insert --any one of--; and change "7" to --6--.

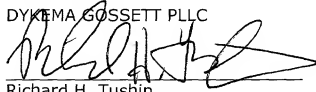
Claim 24 (Amended), line 2, before "Claims 1 to 6" insert --any one of--.

REMARKS

By this Preliminary Amendment an obvious error on page 22 of the specification has been corrected and claims 7-10, 13, 16, 17, 19, 23 and 24 have been revised to better comply with U.S. practice. Entry is requested.

Respectfully submitted,

DYKEMA GOSSETT PLLC



Richard H. Tushin  
Registration No. 27,297  
Third Floor West, Franklin Square  
1300 I Street, N.W.  
Washington, DC 20005-3353  
(202) 522-8600

RHT/jjr

ID 28230

## DESCRIPTION

THERMOELECTRIC CONVERSION MATERIAL,  
AND METHOD FOR MANUFACTURING SAME

## TECHNICAL FIELD

This invention relates to a novel thermoelectric conversion material in which pure silicon is made to contain various types of added element, and more particularly relates to a silicon-based thermoelectric conversion material having a polycrystal structure, characterized in that the crystal structure comprises crystal grains composed of a silicon-rich phase, and an added element-rich phase in which at least one type of added element is deposited at the grain boundary thereof, the result of which is an extremely large Seebeck coefficient and low thermal conductivity of 50 W/m · K or less, allowing the thermoelectric conversion rate to be raised dramatically, and affording a silicon-based thermoelectric conversion material composed chiefly of silicon, which is an abundant resource, and which causes extremely little environmental pollution.

## BACKGROUND ART

Thermoelectric conversion elements are devices that are expected to see practical use because of their efficient utilization of the high levels of thermal energy required in recent industrial fields. An extremely broad range of applications have been investigated, such as a system for converting waste heat into electrical energy, small, portable electric generators for easily obtaining electricity outdoors, flame sensors for gas equipment, and so forth.

This conversion efficiency from thermal energy to electrical energy is a function of the Figure of merit  $ZT$ , and rises in proportion to  $ZT$ . This Figure of merit  $ZT$  is expressed by Formula 1.

$$ZT = \alpha^2 \sigma T / \kappa \quad \text{Formula 1}$$

Here,  $\alpha$  is the Seebeck coefficient of the thermoelectric material,  $\sigma$  is the electrical conductivity,  $\kappa$  is the thermal conductivity, and  $T$  is the absolute temperature expressed as the average value for the thermoelectric element on the high temperature side ( $T_H$ ) and the low temperature side ( $T_L$ ).

Silicides such as  $\text{FeSi}_2$  and  $\text{SiGe}$ , which are thermoelectric conversion materials that have been known up to now, are abundant natural resources, but the former has a Figure of merit  $ZT$  of 0.2 or less, its conversion efficiency is low, and its usable temperature range is extremely narrow, while no decrease in thermal conductivity is seen with the latter unless the germanium content is about 20 to 30 at%, and germanium is a scarce resource. Also, silicon and germanium have a state in which there is a broad liquidus and solidus for complete solid solution, and it is difficult to produce a uniform composition with melting or  $ZL$  (zone leveling), to name just two of the problems which have impeded industrial application. For these reasons, the above-mentioned materials have not found widespread use.

The thermoelectric materials with the highest Figure of merit at the present time are  $\text{IrSb}_3$  having a skutterudite-type crystal structure, and  $\text{BiTe}$ ,  $\text{PbTe}$ , and other such chalcogen compounds, which are known to provide highly efficient thermoelectric conversion capability, but from the

standpoint of protecting the global environment, the use of these heavy metal elements is expected to be restricted in the future.

Silicon, meanwhile, has a high Seebeck coefficient, but has extremely high thermal conductivity, and is therefore not considered suitable as a high efficiency thermoelectric material, and research into the thermoelectric characteristics thereof has been limited to silicon with a carrier concentration of  $10^{18}$  (M/m<sup>3</sup>) or less.

#### DISCLOSURE OF THE INVENTION

The inventors discovered that doping pure silicon with various elements, such as doping silicon with both a trace amount of a Group III element or Group V element and a small amount of a Group IV element, makes it possible to lower thermal conductivity, and the Seebeck coefficient will be equivalent to or better than that of conventional Si-Ge and Fe-Si compounds, or will be extremely high at a given carrier concentration. They also discovered that this material exhibits a good Figure of merit as a thermoelectric conversion material, without losing the fundamental advantages afforded by silicon.

The inventors also produced p- and n-type semiconductors by doping pure silicon with various elements, and examined the relationship of the doping amount to the thermoelectric characteristics, and as a result found that up to a doping amount (that is, a carrier concentration) of  $10^{18}$  (M/m<sup>3</sup>), the Seebeck coefficient decreases in inverse proportion to the carrier concentration, but has a maximum value from  $10^{18}$  to  $10^{19}$  (M/m<sup>3</sup>).

It is an object of the present invention to further lower thermal conductivity or further enhance the Seebeck coefficient and thereby improve performance, without sacrificing the high Seebeck coefficient and electrical



conductivity had by this novel silicon-based thermoelectric conversion material discovered by the inventors.

The inventors diligently examined the mechanism by which a high Seebeck coefficient is obtained with a silicon-based thermoelectric conversion material doped with various added elements, whereupon they learned that this novel silicon-based material has a polycrystal structure having crystal grains composed of a silicon-rich phase, and a added element-rich phase in which at least one type of added element is deposited at the grain boundary thereof.

The term "added element" as used here includes elements with which silicon can be made into a p- or n-type semiconductor, or more specifically, elements that generate carriers and elements that do not generate carriers. Therefore, "added element" used by itself refers to both elements that generate carriers and elements that do not generate carriers, and when a distinction is to be made, we will refer to elements that generate carriers, or elements that do not generate carriers.

The "silicon-rich phase" is a crystal phase in which silicon accounts for at least 80 at% of the crystal grains. The "added element-rich phase" is a crystal phase in which a added element has been deposited at the grain boundary of crystal grain composed of a silicon-rich phase, encompassing everything from depositing just enough to adhere to the crystal grains to depositing enough to surface the crystal grains in a layer, depending on the doping amount, and this crystal phase has at least one type of added element deposited at the grain boundary of these crystal grains. A case in which a trace amount of silicon is deposited at the grain boundary is also included.

Specifically, the present invention is thermoelectric conversion material having a crystal structure in which a added element or a combination of added elements is or are contained in an amount of 0.001 to 30 at% in silicon, and at least one type of added element is deposited at the grain boundary of crystal grains in which silicon accounts for at least 80 at% of the polycrystal structure.

The inventors also investigated a crystal structure in which the inside of the crystal grains is a silicon-rich phase and the grain boundary is a added element-rich phase, whereupon they discovered that the conduction of carriers can be increased in a added element-rich phase in which the added element cohesion occurs at the grain boundary, and a high Seebeck coefficient is obtained in the silicon-rich phase (the main phase), which means that a material with a high Figure of merit is obtained.

In view of this, the inventors tried controlling the crystal structure aside from composition as a method for keeping the Seebeck coefficient high and lowering the thermal conductivity, whereupon they found that if the cooling rate is controlled during melting and solidification, the silicon-rich phase and added element-rich phase will be dispersed in the required arrangement within the material, and a material having a high Figure of merit will be obtained.

Specifically, the present invention is a thermoelectric conversion material in which the material itself is an ingot quenched from a melt, a sinter, a heat treated laminate, or a material having a porosity of 5 to 40%.

The present invention is also characterized in that the crystal grain size and can be kept small (1 to 50  $\mu\text{m}$ ) and a thermoelectric conversion material having the above-mentioned special structure can be obtained by

subjecting the above-mentioned melt to ordinary cooling or quenching, powderizing the resulting material, and molding and sintering this powder.

The inventors also discovered a method for obtaining a silicon-based powder for sintering, in which a silicon powder or a silicon-based powder containing a added element is coated with a added element by discharge plasma treatment or by a vapor phase growth method such as vapor deposition, sputtering, or CVD, or is coated with a added element by plasma treatment using a gas containing the added element, or a added element is embedded by mechanofusion.

The inventors diligently examined a structure and manufacturing method with which a silicon-based thermoelectric conversion material having the above structure could be obtained simply, and as a result learned that if a layer of silicon or including silicon and a layer including a added element are formed and laminated alternately, for instance, and then heat treated, the same structure as that shown in Figure 5 will be obtained for every layer or in the lamination thickness direction.

The inventors also found that by alternately forming and laminating layers including silicon (silicon and a added element) with layers including a added element (in which the added element is the main component, but silicon is also included), the resulting structure will be two-dimensionally equivalent to a structure obtained by controlling the cooling rate during melting and solidification, and will be obtained with a simple method merely involving forming the above-mentioned material having a high Figure of merit on a substrate.

The inventors also diligently examined silicon-based thermoelectric conversion materials in which silicon is doped with a Group

II, Group III, Group V, or Group VI element, a transition metal element, or a rare earth element. As a result, they noticed that the carrier concentration resulting from doping that is effective for a thermoelectric conversion material is  $10^{17}$  to  $10^{21}$  ( $M/m^3$ ), and that there is a limit to the doping amount, whereas the thermal conductivity of the silicon-based material must be further decreased in order to raise the Figure of merit of the thermoelectric conversion material.

In view of this, they looked into compositions with which the thermal conductivity of the material could be greatly decreased and the Figure of merit markedly improved without lowering the Seebeck coefficient or electrical conductivity of the silicon-based thermoelectric conversion material. As a result, they learned that thermal conductivity can be greatly lowered without changing the carrier concentration in the silicon-based material by adding carbon, germanium, or tin (Group IV elements) as a added element that does not generate carriers.

They also learned that when the above-mentioned Group IV element is added, thermal conductivity decreases sharply up to a doping amount of 5 at%, and more or less reaches saturation at 10 at%, so a doping amount of 5 to 10 at% is ideal for lowering thermal conductivity, and furthermore, the structure must be such that the Group IV element is deposited at the grain boundary of the silicon-rich phase.

In particular, it was discovered that when germanium is used as the Group IV element, if the doping amount is small enough that there is no carrier generation, the germanium is replaced with silicon, resulting in a diamond-like crystal structure, and furthermore the phonon scattering is

greater because the atomic weight of germanium is different from that of silicon, allowing the thermal conductivity to be greatly lowered.

The inventors produced ingots of p- and n-type semiconductors adjusted to a carrier concentration at which the Seebeck coefficient is higher ( $10^{19}$  to  $10^{21}$  M/m<sup>3</sup>) by doping various elements in an amount of 0.05 to 20 at% into a silicon-based thermoelectric conversion material containing a dopant that generates carriers, after which they examined various methods for lowering the thermal conductivity of these ingots, and as a result they perfected the present invention upon discovering that thermal conductivity can be greatly decreased without sacrificing a high Seebeck coefficient and low electrical conductivity by making the bulk semiconductor porous.

In short, the silicon-based thermoelectric conversion material pertaining to the present invention is characterized by having a crystal structure that is polycrystalline and in which at least one type of added element is deposited on crystal grains in which silicon accounts for at least 80 at% of the structure, and at the grain boundary thereof. One of the following methods can be employed to obtain a polycrystal structure such as this.

- 1) Quenching the melt.
- 2) Powderizing the material and then sintering this powder.
- 3) Quenching the melt, and sintering the powder thus obtained.
- 4) Coating a silicon powder with the required added elements, etc., or causing these added elements to adhere to the powder, and sintering the resulting powder.

5) Alternately forming and laminating silicon layers or layers including silicon with layers including a added element, and then heat treating this product.

A compositional investigation was conducted into reducing the thermal conductivity of the silicon-based thermoelectric conversion material of the present invention, which revealed that a composition containing at least one of carbon, germanium, and tin as a added element that does not generate carriers, and particularly a composition containing germanium, is preferable, and that structurally, a lower thermal conductivity will be obtained by making the bulk semiconductor porous.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a photograph taken by EPMA of the crystal structure of the thermoelectric conversion material of the present invention ( $\text{Si}_{0.97}\text{Ge}_{0.03}$ ), where A shows the segregation of a germanium added element, and B shows the segregation of a phosphorus dopant;

Fig. 2 is a photograph taken by EPMA of the crystal structure of the thermoelectric conversion material of the present invention ( $\text{Si}_{0.95}\text{Ge}_{0.05}$ ), where A shows the segregation of a germanium added element, and B shows the segregation of a phosphorus dopant;

Fig. 3 is a photograph taken by EPMA of the crystal structure of the thermoelectric conversion material of the present invention ( $\text{Si}_{0.9}\text{Ge}_{0.1}$ ), where A shows the segregation of a germanium added element, and B shows the segregation of a phosphorus dopant;

Fig. 4 is a photograph taken by EPMA of the crystal structure of a comparative material ( $\text{Si}_{0.85}\text{Ge}_{0.15}$ ), where A shows the segregation of a

germanium added element, and B shows the segregation of a phosphorus dopant;

Fig. 5 is a schematic diagram illustrating the crystal structure of the thermoelectric conversion material of the present invention;

Fig. 6 is a schematic diagram illustrating the state of silicon particles of the thermoelectric conversion material powder of the present invention, where A shows the added element on the surface, and B shows the added element embedded;

Fig. 7 is a diagram illustrating a lamination pattern in the thermoelectric conversion material of the present invention; and

Fig. 8 is a diagram illustrating another lamination pattern in the thermoelectric conversion material of the present invention.

#### BEST MODE FOR CARRYING OUT THE INVENTION

The structure in which the above-mentioned added element-rich phase is formed at the boundary of the silicon-rich phase, which is a characteristic feature of the thermoelectric conversion material of the present invention, will now be described. First,  $\text{Si}_{1-x}\text{Ge}_x$  melts (at%) were produced by arc melting, with various doping amounts of germanium (4N) into high purity silicon (10N). These ingots were quenched at a cooling rate of 50 to 200K/sec after melting to produce sample substrates. The crystal structure was observed by EPMA.

More specifically, Figure 1A is when  $x = 0.03$ , Figure 2A when  $x = 0.05$ , and Figure 3A when  $x = 0.1$ . The black parts in the photographs are the silicon-rich phase, which is virtually all silicon, although a trace amount of added element is included, and the white parts are the added element-rich (germanium) phase, and it can be seen that in this structure the germanium-

rich phase is formed dispersed or clumped at the grain boundary of the silicon-rich phase.

Also, a trace amount of phosphorus was added to the above-mentioned  $\text{Si}_{1-x}\text{Ge}_x$  melts, but when just the phosphorus was observed, the EPMA photographs were as shown in Figures 1B, 2B, and 3B, with the white parts indicating places where the doped phosphorus is present, and it can be seen that in this structure the phosphorus is segregated in the same locations where the germanium-rich phase in Figures 1A to 3A was formed at the grain boundary of the silicon-rich phase.

Meanwhile, as shown in Figures 4A and 4B, which are EPMA photographs of just germanium when  $x = 0.15$  with the above-mentioned  $\text{Si}_{1-x}\text{Ge}_x$  melt and just phosphorus, respectively, the overall structure becomes an alloy phase in which the silicon and germanium are in solid solution, and it is clear that this is completely different from the structure of the thermoelectric conversion material pertaining to the present invention.

In other words, the structure of the silicon-based thermoelectric conversion material of the present invention, as shown by the schematic diagram in Figure 5, is a structure in which are formed a silicon-rich phase consisting of silicon alone or almost entirely of silicon but including a trace amount of added element, and a added element-rich phase in which a added element such as germanium is segregated at the grain boundary of this silicon-rich phase. The size of the silicon-rich phase varies with the cooling rate, but is about 10 to 500  $\mu\text{m}$ .

The relationship between carrier concentration and the state of crystal grain boundary deposition of a dopant of phosphorus or boron instead of germanium was examined, which confirmed that the carrier concentration



obtained from the doping amount was in fairly good agreement with the measured carrier concentration. Because of the structure in which the added element-rich phase was formed at the grain boundary of the silicon-rich phase, the added element was clumped at the crystal grain boundary, the electrical conductivity resulting from carriers was high, a high Seebeck coefficient was obtained in the silicon-rich phase within the crystal grains, and most importantly, the thermal conductivity was reduced to less than 50 W/m · K.

Furthermore, it was confirmed that the thermal conductivity of this silicon-based thermoelectric conversion material decreases as the carrier concentration is increased. This is believed to be because lattice thermal conduction was decreased by local phonon scattering of impurities resulting from the added element in the crystals.

#### Ingot casting

It is believed that a structure in which a added element-rich phase of germanium or the like is dispersively formed at the grain boundary of a silicon-rich phase, which is a characteristic of a silicon-based thermoelectric conversion material, is obtained by controlling the cooling rate after casting, and that the crystal grain size is kept relatively small by quenching, segregation of a suitable added element other than silicon occurs at the crystal grain boundary, and this results in a high Seebeck coefficient despite the high electrical conductivity.

With the silicon-based thermoelectric conversion material of the present invention, the above-mentioned structure is obtained by cooling a silicon-based molten material, and arc melting and high-frequency melting are ideal melting methods for mass production. The cooling rate of the

silicon-based molten material is suitably determined as dictated by the type and combination of added elements (discussed below), the doping amount, and so forth, as well as the cooling method employed, and the form in which the material is obtained, such as an ingot, a thin sheet, a substrate, or a ribbon.

Cooling methods that can be employed in the present invention include cooling the ingot just as it is, and cooling while pulling, such as a method in which a known CZ or FZ method for obtaining monocrystalline silicon is utilized and pulling and cooling are performed under conditions that allow polycrystalline silicon to be obtained. Because a CZ or FZ method allows numerous substrates of the required thickness to be manufactured from a pulled ingot rod, they are ideal methods for manufacturing a silicon-based substrate for use in thermoelectric conversion elements. Manufacture by ZL method is also possible.

Other methods may also be employed, such as a method in which a thin sheet is fabricated by casting and cooling a silicon-based molten material in a shallow plate, or utilizing a roll cooling method such as a known melt quenching method to control the cooling rate so that a thin sheet of the required thickness will be obtained.

For instance, when a silicon-based molten material is cast and cooled in a shallow plate, of the plate is water-cooled or brought into contact with a chiller, then cooling at a rate of at least 50K/sec is appropriate, for example, which will keep the crystal grain size to just a few hundred microns or less and result in a high Seebeck coefficient. A preferable cooling rate is 50K/sec to 500K/sec, and it is possible to achieve an average crystal grain size of 10 to 200  $\mu\text{m}$ .

## Sintering

It is also possible to produce a silicon-based thermoelectric conversion material by sintering. A structure in which a added element-rich phase of germanium or the like is dispersively formed at the grain boundary of a silicon-rich phase is formed through the segregation of the added element in solid solution in the raw material powder particles during sintering. It is also effective for the sintering powder itself to have the same crystal structure.

To convert the crystal structure of the sintering powder itself into the polycrystal structure of the present invention, the cooling rate after ingot casting is controlled, the crystal grain size is kept relatively small by quenching, segregation of a suitable added element other than silicon occurs at the crystal grain boundary, and this results in a material powder exhibiting a high Seebeck coefficient despite the high electrical conductivity. Furthermore, sintering using this powder causes the segregation of the added element during sintering to occur more readily and effectively, and a sintered silicon-based thermoelectric conversion material that exhibits a high Seebeck coefficient is obtained.

In the present invention, even if the raw material has little of the above-mentioned segregation of a suitable added element other than silicon at the crystal grain boundary, if this raw material is pulverized and sintered, the required segregation will occur during sintering and the targeted structure can be obtained, so there are no particular restrictions on the cooling rate of the silicon-based molten material.

On the other hand, the cooling rate after ingot casting must be controlled in the present invention in order to use a powder that imparts a

high Seebeck coefficient to the raw material powder and convert the crystal structure of the sintering powder itself into the structure in Figure 5. Any method can be employed, but examples include a roll cooling method such as melt quenching, and a spraying method such as gas atomization. Cooling is particularly fast with roll cooling or spraying (about 500 to 1000K/sec), allowing for a fine crystal grain size of 1 to 50  $\mu\text{m}$  and making it possible to lower the thermal conductivity.

A powder can be produced by subjecting an ingot or thin sheet to a known mechanical pulverization process such as a stamp mill or ball mill, or a ribbon or other slender piece obtained by splat cooling can be pulverized, and the average particle size of the powder should be adjusted to 10 to 100  $\mu\text{m}$ . With a spray method, a small crystal grain size of 1 to 10  $\mu\text{m}$  can be obtained, a fine powder with a particle diameter of 3 to 50  $\mu\text{m}$  can also be obtained, and there is little particle growth after sintering, making this an ideal raw material powder for sintering.

Any sintering method can be used in the present invention as long as it allows for firing at about 1470 to 1630K, which is close to the melting point of silicon. An ordinary firing method in which sintering is performed after compression molding, or a known sintering means such as hot pressing or discharge plasma sintering in which sintering is performed during compression molding, can be selected as deemed appropriate. The preferred conditions should be appropriately selected according to the selected sintering means such that the sintering time is maintained at 0.5 hour or longer, the temperature is 1470 to 1630K, and the sintering is performed in a vacuum or an inert gas.

## Coating

A sample substrate was produced by cooling at a rate of 50K/sec after melting, the substrate was then pulverized into a powder with an average particle size of 30  $\mu\text{m}$ , a  $\text{Si}_{1-x}\text{Ge}_x$  powder coated with a added element was produced by discharge plasma treatment, and this powder was sintered at 1520 to 1630K. The crystal structure of the obtained sample was observed by EPMA, which revealed that melting and solidification produced the same structure as in Figures 1 to 3, and that the size of the silicon-rich phase was a relatively small 10 to 100  $\mu\text{m}$ .

It was also confirmed that the structure in which a added element-rich phase is dispersively formed at the grain boundary of a silicon-rich phase shown in Figure 5 can be obtained by causing added element to adhere only partially to the surface of silicon or silicon-based powder particles and then sintering this product.

The coating or adhesion of the added element onto the surface of a silicon powder or a silicon-based powder containing a added element can be accomplished by any known growth, film formation, binding, or adhesive means, such as vapor deposition, sputtering, CVD, or another such vapor phase growth method, discharge plasma treatment, or plasma treatment using a gas containing a added element.

The phrase "coating with a added element" as used in the present invention encompasses everything from forming a complete film on the silicon particle surface to merely causing added element particles to adhere to the silicon particle surface. In other words, the added element particles need not completely cover the silicon particle surface, and may instead just adhere up to the time of the sintering treatment. Also, as will be discussed

below, any element can be added as the added element, so a variety of cases are conceivable, including those in which any means can be selected and those in which the means is limited by the type of element in question. In addition, the treatment conditions for the selected means will vary considerably with the combination of elements when two or more are used, so the above-mentioned means and conditions must be appropriately selected according to the targeted composition.

For instance, the example shown in Figure 6A is one in which a added element has been bound to the particle surface of a silicon powder adjusted to a specific particle size by pulverizing an ingot or thin sheet, or a silicon powder obtained directly by spray method. The binding may be accomplished by any means, such as growth or film formation as discussed below, and the binding amount is suitably selected so as to achieve the targeted composition after sintering. The same treatment can be performed on silicon-based particles in which the silicon powder itself contains the required added element. The structure in which a added element-rich phase is dispersively formed at the grain boundary of a silicon-rich phase shown in Figure 5 can be obtained by sintering a silicon powder composed of silicon particles in which the required added element is bound to the surface.

The example shown in Figure 6B is one in which the added element is imbedded in the silicon particle surface by mechanofusion treatment so that the silicon particles are silicon-rich particles, and the structure in which a added element-rich phase is dispersively formed at the grain boundary of a silicon-rich phase shown in Figure 5 can be obtained by sintering a silicon-rich powder composed of silicon-rich particles in which the required added element is imbedded in the surface.

## Lamination

The thermoelectric conversion material of the present invention can make use of a monocrystalline or polycrystalline silicon substrate, a glass or ceramic substrate, a resin substrate, or the like, or a resin film, or any known substrate or film that can be utilized in the formation of a thermoelectric conversion element, for instance, over another film. The structure is characterized in that a silicon layer or a layer containing silicon as its main component and a layer having as its main component one or more added elements are laminated over one of these substrates or films. In other words, a laminate of a silicon layer or a layer containing silicon and a layer containing an added element is formed on a substrate.

For instance, the structural example shown in Figure 7 is such that first a thin film layer of germanium and phosphorus is formed in the required thickness as the layer containing added elements over a monocrystalline silicon substrate with a crystal plane of (111) or (100), then a thin film layer of just silicon is formed in the required thickness as the layer containing silicon, and the above-mentioned thin film layers of germanium and phosphorus and thin film layers of silicon are alternately laminated.

If a heat treatment (1 hour at 873K in a vacuum, for example) is conducted after lamination, then as shown in Figure 7B, there will be diffusion between the thin film layers, resulting in a laminate in which thin film layers of  $\text{Ge} + \text{P} + \Delta\text{Si}$  into which silicon has diffused are alternately laminated with thin film layers of  $\text{Si} + \Delta\text{P} + \Delta\text{Ge}$  into which germanium and phosphorus have diffused. When the layer containing silicon in Figure

7A is an Si + P thin film layer, the Si + P layer will become an Si +  $\Delta$ Ge + P layer after heat treatment.

Also, as shown in Figure 8, the heat treated lamination state of Figure 7B can be achieved by forming a thin film layer of Ge + P + Si (made up primarily of germanium and phosphorus, but including silicon as well; used as the layer containing a added element) in the required thickness, then forming a thin film layer of Si + Ge (used as the layer containing silicon) in the required thickness, and then alternately laminating these Ge + P + Si thin film layers and Si + Ge thin film layers.

The laminate shown in Figure 7B or Figure 8, formed by lamination over a monocrystalline silicon substrate, is equivalent to the structure shown in Figure 5, in which a silicon-rich phase consisting primarily of silicon and a added element-rich phase in which a added element has become segregated at the grain boundary of this silicon-rich phase are formed in the thickness direction (that is, the lamination direction), and when a diffusion heat treatment is performed, the resulting structure is similar in the plan view of the various thin film layers. This laminate is a thermoelectric conversion material having a structure equivalent to that in Figure 5, which was obtained by quenching a silicon-based melt containing the required amounts of germanium and phosphorus.

Therefore, as to the thickness of the above-mentioned silicon layers (or layers containing silicon) and layers containing a added element, and the lamination thickness ratio thereof, the composition and thickness of the layers containing silicon and the layers containing a added element must be selected according to the composition of the targeted silicon-based thermoelectric conversion material so that these are suitably dispersed, and



any lamination means can be employed as long as the structure shown in Figure 5 can at least be achieved in the lamination direction. Examples include varying the composition of the layers containing silicon and the layers containing a added element for each lamination, and combining a variety of compositions or using various patterns in which the lamination pattern is not merely alternating as above.

A thermoelectric conversion material in which films are formed and laminated over a substrate as above is suitably designed so the composition discussed below will be achieved for the laminate as a whole, and the structure shown in Figure 5 is formed in the lamination direction, so a thermoelectric conversion element can be easily obtained by forming and laminating p- and n-type semiconductors, electrode films, and the like from this silicon-based thermoelectric conversion material in a suitable pattern so that the temperature gradient direction of the targeted thermoelectric conversion element will be the above-mentioned lamination direction.

The film formation and lamination can be accomplished by any known growth or film formation means, such as vapor deposition, sputtering, CVD, or another such vapor phase growth method, discharge plasma treatment, or plasma treatment using a gas containing a added element. Also, as will be discussed below, any element can be added as the added element, so a variety of cases are conceivable, including those in which any means can be selected and those in which the means is limited by the type of element in question. In addition, the treatment conditions for the selected means will vary considerably with the combination of elements when two or more are used, so the above-mentioned means and conditions must be appropriately selected according to the targeted composition. As for the heat

treatment, any temperature conditions, atmosphere, and heating method can be employed as long as the conditions result in the desired diffusion between the layers.

### Composition

The added element that is contained in silicon in the present invention may be any element that is added in order to obtain a higher Seebeck coefficient and at the same time lower the thermal conductivity at a carrier concentration within the required range, and one or more types of added element are contained in an amount of 0.001 to 30 at%.

It is particularly favorable to select as the dopant an element that generates carriers (discussed below) for making silicon into a p- or n-type semiconductor. A dopant for generating carriers and making a p-type semiconductor (called dopant Ap) and a dopant for making an n-type semiconductor (called dopant An) are each contained in an amount of 0.001 to 10 at%.

Dopant Ap and dopant An can be selected in any combination of each group, as long as at least one type from each group is contained in a total amount of 0.002 to 20 at%, dopant Ap or An is combined in a total amount that is over that of the corresponding dopant An or Ap and is large enough to create a p-type semiconductor or n-type semiconductor. To obtain a p-type semiconductor, for example, the total amount of dopant An should be over that of dopant Ap and be large enough to create a p-type semiconductor.

Not only when the added element is one that does not generate carriers, but even with an element that does generate carriers, if a dopant is required which generates separate carriers due to the doping amount or the

combination (when two or more are used), one or more types of dopant that generates carriers may be contained in addition to the first added element in an amount of 0.001 to 10 at%, and preferably 0.001 to 5 at%.

When the applications of a thermoelectric conversion material are considered, emphasis must be placed on one of the characteristics, such as the Seebeck coefficient, electrical conductivity, or thermal conductivity depending on the conditions which vary with the application, such as the heat source, where and how the material is used, and the size of the current and voltage to be handled, but the thermoelectric conversion material of the present invention allows the carrier concentration to be determined by means of the added amount of the selected added element.

It is preferable for the added element  $A_p$  for generating carriers and creating a p-type semiconductor to be one or more types selected from the group consisting of an  $A_{p1}$  group (Be, Mg, Ca, Sr, Ba, Zn, Cd, Hg, B, Al, Ga, In, Tl) and transition metal elements  $M_1$  (Y, Mo, Zr). Of these, boron, gallium, and aluminum are particularly favorable dopants.

It is preferable for the dopant  $A_n$  for generating carriers and creating an n-type semiconductor to be one or more types selected from the group consisting of an  $A_{n1}$  group (N, P, As, Sb, Bi, O, S, Se, Te), transition metal elements  $M_2$  (Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Nb, Ru, Rh, Pd, Ag, Hf, Ta, W, Re, Os, Ir, Pt, Au; where Fe accounts for 10 at% or less), and rare earth elements RE (La, Ce, Pr, Nd, Pm, Sm, Eu, Gd, Tb, Dy, Ho, Er, Yb, Lu). Of these, phosphorus, arsenic, antimony, and ~~copper~~ <sup>Bi</sup> are particularly favorable dopants.

Examples of determining the carrier concentration will now be given. When one or more elements from the above-mentioned  $A_{p1}$  group of

dopants are contained in an amount of 0.001 to 0.5 at%, a p-type semiconductor with a carrier concentration of  $10^{17}$  to  $10^{20}$  (M/m<sup>3</sup>) will be obtained, and when elements from the Ap1 group are contained in an amount of 0.5 to 5.0 at%, a p-type semiconductor with a carrier concentration of  $10^{19}$  to  $10^{21}$  (M/m<sup>3</sup>) will be obtained.

Similarly, when one or more elements from the above-mentioned An1 group of dopants are contained in an amount of 0.001 to 0.5 at%, an n-type semiconductor with a carrier concentration of  $10^{17}$  to  $10^{20}$  (M/m<sup>3</sup>) will be obtained, and when elements from the An1 group are contained in an amount of 0.5 to 10 at%, an n-type semiconductor with a carrier concentration of  $10^{19}$  to  $10^{21}$  (M/m<sup>3</sup>) will be obtained.

When elements from the above-mentioned dopant groups Ap1 or An1 are contained in an amount of 0.5 to 5.0 at% so that the carrier concentration is  $10^{19}$  to  $10^{21}$  (M/m<sup>3</sup>), a highly efficient thermoelectric conversion element is obtained, and the thermoelectric conversion efficiency is excellent, but the thermal conductivity thereof is about 50 to 150 W/m · K at room temperature, and if the thermal conductivity could be decreased, there would be a further improvement in the Figure of merit ZT.

It is possible in the present invention to decrease thermal conductivity and to increase phonon scattering without changing the carrier concentration by adding at least one Group III element and at least one Group V element and controlling the carrier concentration to a range of  $10^{19}$  to  $10^{21}$  (M/m<sup>3</sup>). A p-type semiconductor will be obtained if the Group III element is contained in an amount 0.3 to 5 at% larger than the Group V element, and an n-type semiconductor will be obtained if the Group V

element is contained in an amount 0.3 to 5 at% larger than the Group III element.

The inventors also investigated whether a decrease in thermal conductivity could be achieved with something other than Group III elements Group V elements, and found that the crystal structure can be disturbed without changing the carrier concentration in the silicon, the thermal conductivity can be brought below  $50 \text{ W/m} \cdot \text{K}$  at room temperature, and a highly efficient thermoelectric conversion material can be obtained by adding a Group III-V compound semiconductor or a Group II-VI compound semiconductor to silicon, further adding at least one type of Group III element or Group V element and controlling the carrier concentration to a range of  $10^{19}$  to  $10^{21} \text{ (M/m}^3\text{)}$ .

Furthermore, as a result of variously investigating other added elements to silicon, the inventors found that if the silicon contains the Group IV elements germanium, carbon, and tin in an amount of 0.1 to 5 at%, and some of the silicon atoms are replaced with a Group IV element with a different atomic weight, there will be greater phonon scattering in the crystals and the thermal conductivity of the semiconductor can be reduced to no more than  $50 \text{ W/m} \cdot \text{K}$  at room temperature.

Other elements besides the above-mentioned Group III and V elements were examined to see if they could similarly be added to silicon in the thermoelectric conversion material of the present invention, whereupon it was confirmed that while there are no particular restrictions as long as a p- or n-type semiconductor will result, if elements whose ion radii are too different are used, almost all will precipitate in the grain boundary phase, so

it is preferable to use an element whose ion radius is relatively close to that of silicon.

When one or more of germanium, carbon, and tin are selected as added elements in the thermoelectric conversion material of the present invention, it is preferable for the composition to be such that these added elements that do not generate carriers are contained in an amount of 0.1 to 10 at%, and one or more dopants that do generate carriers are contained in an amount of 0.001 to 20 at%.

Specifically, with a thermoelectric conversion material, thermal conductivity will be high if one or more of germanium, carbon, and tin are contained in an amount less than 0.1 at%, so a high Figure of merit will not be obtained, but while thermal conductivity will decrease somewhat if 10 at% is exceeded, the Group IV element will at the same time also diffuse into the silicon-rich phase in the particles, producing a solid solution, so there will be a drop in the high Seebeck coefficient of the silicon, and the Figure of merit will be lower. Thus, the Group IV elements other than silicon should be contained in an amount of 0.1 to 10 at%, and preferably 5 to 10 at%.

The purpose of adding a Group III-V compound semiconductor or a Group II-VI compound semiconductor is to reduce thermal conductivity without changing the carrier concentration of the material, and the desired effect will not be obtained below 1 at%, but adding in an amount over 10 at% will result in almost no decrease in thermal conductivity, so an amount of 1 to 10 at% is preferable.

#### Porosity

The inventors employed the following process to produce a p-type Si-Ge semiconductor with controlled porosity. First, one or more transition

metal elements, Group II elements, and Group III elements were added and melted so that the carrier concentration was  $10^{19}$  to  $10^{21}$  ( $M/m^3$ ), and the resulting ingot was coarsely ground, ground in a disk mill, and ground in a jet mill. After this, the powder was subjected to hot pressing or discharge plasma sintering under various molding temperature and pressure conditions. The thermoelectric conversion characteristics of the thermoelectric conversion material of a p-type semiconductor with controlled porosity were measured.

With an  $Si_{0.95}Ge_{0.05}$  p-type semiconductor doped with 0.3 at% boron, porosity caused no major change in the Seebeck coefficient or electrical resistance up to a porosity of 40%, but from a porosity of 5%, the thermal conductivity decreased greatly as porosity increased, and was found to have dropped to about 30% of that of an arc-melted ingot at a porosity of 40%.

With a p-type semiconductor, at a porosity of less than 5% the thermal conductivity is virtually the same as that of an ingot, and when the porosity is over 40%, the Seebeck coefficient decreases and electrical resistivity increases, the result of which was found to be a decrease in the Figure of merit. The porosity (X%) here was determined using the formula  $(100 - Y) (\%)$  from the relative density (Y%) of a hot pressed article, where the density of the ingot is 100%.

Meanwhile, the following process was employed to produce an n-type silicon-based material with controlled porosity. First, one or more rare earth elements, Group V elements, and Group VI elements were added and melted so that the carrier concentration was  $10^{19}$  to  $10^{21}$  ( $M/m^3$ ), and the resulting ingot was coarsely ground, ground in a disk mill, and ground in a

jet mill. After this, the powder was subjected to hot pressing or discharge plasma sintering under various molding temperature and pressure conditions. The thermoelectric conversion characteristics of the thermoelectric conversion material of an n-type semiconductor with controlled porosity were measured.

With an  $\text{Si}_{0.95}\text{Ge}_{0.05}$  n-type semiconductor doped with 0.4 at% phosphorus, just as with the p-type semiconductor, porosity caused no major change in the Seebeck coefficient or electrical resistance up to a porosity of 40%, but from a porosity of 5%, the thermal conductivity decreased greatly as porosity increased, and was found to have dropped to about 30% of that of an arc-melted ingot at a porosity of 40%.

With an n-type semiconductor, at a porosity of less than 5% the thermal conductivity is virtually the same as that of an ingot, and when the porosity is over 40%, the Seebeck coefficient decreases and electrical resistivity increases, the result of which was found to be a decrease in the Figure of merit.

It is preferable for the hot pressing treatment conditions in the present invention to comprise a temperature of 1423 to 1573K and a pressure of 25 to 150 MPa. If the temperature is below 1423K, the porosity of the sinter will exceed 40%, but partial melting will occur if the temperature is over 1573K. The pressure should be appropriately selected so as to achieve the specified porosity.

It is preferable for the discharge plasma sintering conditions in the present invention to comprise a temperature of 1503 to 1573K and a pressure of 25 to 150 MPa. If the temperature is below 1503K, the porosity of the



sinter will exceed 40%, but partial melting will occur if the temperature is over 1573K.

### Examples

#### Example 1

High-purity silicon (10N) and a added element (dopants that generate carriers are labeled Ap and An, while added elements that do not generate carriers are labeled Bnc; the same applies to all the added elements in the following examples) were compounded as shown in Table 1 and then arc-melted in an argon gas atmosphere to produce p- and n-type silicon thermoelectric conversion semiconductors. The temperature in the arc melting was approximately 1900K, and the molten material was cooled at a rate of 50 to 100K/sec by a water-cooled copper base. The average crystal grain size of the obtained material was approximately 50 to 100  $\mu\text{m}$ .

The button-shaped ingots thus obtained were cut to sizes of  $5 \times 5 \times 15$  mm,  $10 \times 10 \times 2$  mm, and 10 (outside diameter)  $\times 2$  mm, and the Seebeck coefficient, Hall coefficient (including carrier concentration and electrical conductivity), and thermal conductivity were measured for each. The measurement values at 1100K and the Figure of merit ( $ZT = S^2T/\rho\kappa$ ) are shown in Table 2.

The temperature differential between the high and low temperature portions during temperature elevation was set to be about 6K, the thermoelectromotive force of the samples was measured with a digital multimeter, and this value was divided by the temperature differential to find the Seebeck coefficient. The Hall coefficient was measured by AC method, and the electrical resistance was measured by four-probe method

simultaneously with the carrier concentration. The thermal conductivity was measured by laser flash method.

#### Example 2

In order to produce p- and n-type silicon thermoelectric semiconductors, high-purity silicon (10N) and added elements were compounded as shown in Table 3, after which this product was put in a graphite crucible and melted in a high-frequency melting furnace in a vacuum ( $10^{-4}$  Torr). The melting temperature was approximately 1900K, the casting temperature was approximately 1800K, and the melt was cast into a mold with a thickness of 10 mm. The cooling rate of the molten material was 10 to 50K/sec, and the average crystal grain size of the material was approximately 100 to 500  $\mu\text{m}$ .

The ingots thus obtained were cut to sizes of  $5 \times 5 \times 15$  mm,  $10 \times 10 \times 2$  mm, and 10 (outside diameter)  $\times 2$  mm, and the Seebeck coefficient, Hall coefficient (including carrier concentration and electrical conductivity), and thermal conductivity of each were measured by the same methods as in Example 1. Table 4 shows the measured values at 1100K and the Figure of merit ( $ZT = S^2T/\rho K$ ).

#### Example 3

In order to produce p- and n-type silicon thermoelectric semiconductors, high-purity silicon (10N) and added elements were compounded as shown in Table 5, after which this product was put in a graphite crucible and melted in a high-frequency melting furnace in a vacuum ( $10^{-4}$  Torr), and it was confirmed that the components melted uniformly at approximately 1800K.

After this, the upper part of the above-mentioned graphite crucible was lowered to 1700K, silicon seed crystals were brought into contact with the top of the melt, and the melt was slowly pulled up. The inside diameter of the crucible was 100 mm, the pulling rate was 0.3 to 1 mm/sec, and the pulled crystals were vibrated once every five seconds in order to render them polycrystalline. The average crystal grain size of the obtained material was approximately 1 to 10  $\mu\text{m}$ .

The ingots thus obtained were cut to sizes of  $5 \times 5 \times 15$  mm,  $10 \times 10 \times 2$  mm, and  $10$  (outside diameter)  $\times 2$  mm, and the Seebeck coefficient, Hall coefficient (including carrier concentration and electrical conductivity), and thermal conductivity of each were measured by the same methods as in Example 1. Table 6 shows the measured values at 1100K and the Figure of merit ( $ZT = S^2T/\rho\kappa$ ).

Table 1

No.	Added element Bnc	Doping amount (at%)	Dopant Ap,An	Doping amount (at%)	Melting temp. (K)	Cooling rate (K/sec)	Crystal grain size ( $\mu\text{m}$ )
1			B	0.3	1900	50	100
2			Al	1	1900	50	100
3	Ge	3	B	0.3	1900	50	100
4	Ge	3	B	0.3	1900	100	50
5	Ge	3	Ga	1	1900	50	100
6			P	1	1900	50	100
7			As	1	1900	50	100
8	Ge	3	P	1	1900	50	100
9	Ge	3	P	1	1900	100	50
10	Ge	3	Sb	3	1900	50	100

Table 2

No.	Seebeck coefficient (mV/K)	Electrical resistivity $\times 10^{-5}$ ( $\Omega \cdot m$ )	Thermal conductivity (W/m $\cdot$ K)	Figure of merit (ZT)
1	0.267	1.15	37	0.18
2	0.231	1.24	45	0.11
3	0.272	1.18	12	0.57
4	0.286	1.25	9	0.80
5	0.291	1.37	10	0.68
6	-0.301	1.24	42	0.19
7	-0.318	1.31	48	0.18
8	-0.305	1.28	12	0.67
9	-0.314	1.33	9	0.91
10	-0.332	1.42	10	0.85

Table 3

No.	Added element Bnc	Doping amount (at%)	Dopant Ap,An	Doping amount (at%)	Melting temp. (K)	Cooling rate (K/sec)	Crystal grain size ( $\mu\text{m}$ )
11			B	0.3	1900	30	250
12			Al	1	1900	30	250
13	Ge	3	B	0.3	1900	50	100
14	Ge	3	B	0.3	1900	30	250
15	Ge	3	B	0.3	1900	10	500
16	Ge	3	Ga	1	1900	30	250
17			P	1	1900	30	250
18			As	1	1900	30	250
19	Ge	3	P	1	1900	50	100
20	Ge	3	P	1	1900	30	250
21	Ge	3	P	1	1900	10	500
22	Ge	3	Sb	3	1900	30	250

Table 4

No.	Seebeck coefficient (mV/K)	Electrical resistivity $\times 10^{-5}$ ( $\Omega \cdot m$ )	Thermal conductivity (W/m $\cdot$ K)	Figure of merit (ZT)
11	0.272	1.08	42	0.18
12	0.234	1.18	48	0.11
13	0.278	1.16	14	0.52
14	0.280	1.12	13	0.59
15	0.282	1.09	12	0.67
16	0.294	1.33	12	0.60
17	-0.309	1.20	48	0.18
18	-0.321	1.29	52	0.17
19	-0.306	1.25	14	0.59
20	-0.309	1.21	13	0.67
21	-0.312	1.19	12	0.75
22	-0.337	1.40	12	0.74

Table 5

No.	Added element Bnc	Doping amount (at%)	Dopant Ap,An	Doping amount (at%)	Pulling temp. (K)	Pulling rate (mm/sec)	Crystal grain size (mm)
31			B	0.3	1900	0.3	3
32			Al	1	1900	0.3	3
33	Ge	3	B	0.3	1900	1	1
34	Ge	3	B	0.3	1900	0.3	3
35	Ge	3	B	0.3	1900	0.1	9
36	Ge	3	Ga	1	1900	0.3	3
37			P	1	1900	0.3	3
38			As	1	1900	0.3	3
39	Ge	3	P	1	1900	1	1
40	Ge	3	P	1	1900	0.3	3
41	Ge	3	P	1	1900	0.1	9
42	Ge	3	Sb	3	1900	0.3	3



Table 6

No.	Seebeck coefficient (mV/K)	Electrical resistivity $\times 10^{-5}$ ( $\Omega \cdot m$ )	Thermal conductivity (W/m·K)	Figure of merit (ZT)
31	0.275	1.05	45	0.18
32	0.237	1.14	51	0.11
33	0.280	1.19	17	0.43
34	0.283	1.13	15	0.52
35	0.285	1.10	14	0.58
36	0.298	1.30	14	0.54
37	-0.311	1.17	51	0.18
38	-0.324	1.26	54	0.17
39	-0.309	1.22	16	0.54
40	-0.311	1.20	14	0.63
41	-0.315	1.18	13	0.71
42	-0.339	1.38	13	0.70

#### Example 4

In order to produce p- and n-type silicon thermoelectric semiconductors, high-purity silicon (10N) and added elements were compounded as shown in Table 7, after which this product was put in a graphite crucible and vacuum melted in a high-frequency melting furnace. After melting, the material was cast into a mold with a thickness of 10 mm, and the ingots were ground and then pulverized to an average crystal grain size of 1 to 50  $\mu\text{m}$  in a stamp mill and a ball mill. The ball mill was a wet type and made use of a xylene solvent.

The pulverized raw material powder was subjected to hot pressing in argon for 1 hour at 1325K and 100 MPa to obtain sinters. The sinter samples thus obtained were cut to sizes of  $5 \times 5 \times 15$  mm,  $10 \times 10 \times 2$  mm, and 10 (outside diameter)  $\times 2$  mm, and the Seebeck coefficient, Hall coefficient (including carrier concentration and electrical conductivity), and thermal conductivity of each were measured. Table 8 shows the measured values at 1100K and the Figure of merit ( $ZT = S^2T/\rho\kappa$ ).

#### Example 5

In order to produce p- and n-type silicon thermoelectric semiconductors, high-purity silicon (10N) and added elements were compounded as shown in Table 9, after which this product was put in a graphite crucible and vacuum melted in a high-frequency melting furnace. After melting, the material was cast into a mold with a thickness of 10 mm to obtain sheet-form ingots. The ingots were then pulverized, and then finely pulverized to an average crystal grain size of 1 to 30  $\mu\text{m}$  in a stamp mill and a jet mill.  $\text{N}_2$  gas was used in the jet mill, and the pressure was 0.7 MPa.

The finely pulverized raw material powders were compression molded at a pressure of 200 MPa to sizes of  $5 \times 5 \times 15$  mm,  $10 \times 10 \times 2$  mm, and  $10$  (outside diameter)  $\times 2$  mm, and these products were sintered in a vacuum for 5 hours at 1325K. The Seebeck coefficient, Hall coefficient (including carrier concentration and electrical conductivity), and thermal conductivity of each of the sinters thus obtained were measured by the same methods as in Example 4. Table 10 shows the measured values at 1100K and the Figure of merit ( $ZT = S^2T/\rho\kappa$ ).

#### Example 6

In order to produce p- and n-type silicon thermoelectric semiconductors, high-purity silicon (10N) and added elements were compounded as shown in Table 11, after which this product was put in a graphite crucible and vacuum melted in a high-frequency melting furnace. The melts were discharged through a nozzle with an inside diameter of 3 mm, and the melts were quenched by blowing argon gas on them at 3 MPa to obtain spherical atomized powder particles with an average diameter of 30 to 100  $\mu$ m. The cooling rate of this atomized powder was 500 to 1000K/sec, and the average crystal grain size was 1 to 30  $\mu$ m.

The atomized powders thus obtained were sintered by discharge plasma in an argon atmosphere. The sintering conditions were 3 minutes at 1325K. The sample sinters were cut to sizes of  $5 \times 5 \times 15$  mm,  $10 \times 10 \times 2$  mm, and  $10$  (outside diameter)  $\times 2$  mm, and the Seebeck coefficient, Hall coefficient (including carrier concentration and electrical conductivity), and thermal conductivity of each were measured by the same methods as in Example 4. Table 12 shows the measured values at 1100K and the Figure of merit ( $ZT = S^2T/\rho\kappa$ ).

Table 7

No.	added element Bnc	Doping amount (at%)	Dopant Ap,An	Doping amount (at%)	Pulverization time (h)	Powder particle size ( $\mu\text{m}$ )	Sintering temp. (K)	Sintering time (h)
1			B	0.3	20	10	1325	1
2			Al	1	20	10	1325	1
3	Ge	3	B	0.3	10	30	1325	1
4	Ge	3	B	0.3	20	10	1325	1
5	Ge	3	B	0.3	50	3	1325	1
6	Ge	3	Ga	1	20	10	1325	1
7			P	1	20	10	1325	1
8			As	1	20	10	1325	1
9	Ge	3	P	1	10	30	1325	1
10	Ge	3	P	1	20	10	1325	1
11	Ge	3	P	1	50	3	1325	1
12	Ge	3	Sb	3	20	10	1325	1

Table 8

No.	Seebeck coefficient (mV/K)	Electrical resistivity $\times 10^{-5}$ ( $\Omega \cdot m$ )	Thermal conductivity (W/m·K)	Figure of merit (ZT)
1	0.267	1.27	29	0.21
2	0.231	1.31	31	0.14
3	0.272	1.28	11	0.58
4	0.286	1.30	9	0.72
5	0.283	1.33	7	0.95
6	0.291	1.41	9	0.73
7	-0.301	1.29	30	0.26
8	-0.318	1.34	32	0.26
9	-0.305	1.30	10	0.79
10	-0.309	1.34	9	0.87
11	-0.314	1.37	8	0.99
12	-0.332	1.45	9	0.93

Table 9

No.	added element Bnc	Doping amount (at%)	Dopant Ap,An	Doping amount (at%)	Raw material supply rate (g/sec)	Powder particle size ( $\mu\text{m}$ )	Sintering temp. (K)	Sintering time (h)
21			B	0.3	0.5	5	1300	5
22			Al	1	0.5	5	1300	5
23	Ge	3	B	0.3	1	10	1300	5
24	Ge	3	B	0.3	0.5	5	1300	5
25	Ge	3	B	0.3	0.25	3	1300	5
26	Ge	3	Ga	1	0.5	5	1300	5
27			P	1	0.5	5	1300	5
28			As	1	0.5	5	1300	5
29	Ge	3	P	1	1	10	1300	5
30	Ge	3	P	1	0.5	5	1300	5
31	Ge	3	P	1	0.25	3	1300	5
32	Ge	3	Sb	3	0.5	5	1300	5

Table 10

No.	Seebeck coefficient (mV/K)	Electrical resistivity $\times 10^{-5}$ ( $\Omega \cdot \text{m}$ )	Thermal conductivity (W/m $\cdot$ K)	Figure of merit (ZT)
21	0.269	1.36	25	0.23
22	0.235	1.41	29	0.15
23	0.274	1.39	10	0.59
24	0.277	1.42	8	0.74
25	0.281	1.46	6	0.99
26	0.294	1.53	7	0.89
27	-0.303	1.35	27	0.28
28	-0.320	1.41	29	0.28
29	-0.309	1.42	9	0.82
30	-0.311	1.49	7	1.02
31	-0.314	1.55	6	1.17
32	-0.336	1.60	97	1.11

Table 11

No.	added element Bnc	Doping amount (at%)	Dopant Ap,An	Doping amount (at%)	Spray pressure (MPa)	Powder particle size ( $\mu\text{m}$ )	Sintering temp. (K)	Sintering time (sec)
41			B	0.3	5	50	1325	180
42			Al	1	5	50	1325	180
43	Ge	3	B	0.3	3	75	1325	180
44	Ge	3	B	0.3	5	50	1325	180
45	Ge	3	B	0.3	8	30	1325	180
46	Ge	3	Ga	1	5	50	1325	180
47			P	1	5	50	1325	180
48			As	1	5	50	1325	180
49	Ge	3	P	1	3	75	1325	180
50	Ge	3	P	1	5	50	1325	180
51	Ge	3	P	1	8	30	1325	180
52	Ge	3	Sb	3	5	50	1325	180



Table 12

No.	Seebeck coefficient (mV/K)	Electrical resistivity $\times 10^{-5}$ ( $\Omega\cdot\text{m}$ )	Thermal conductivity (W/m $\cdot$ K)	Figure of merit (ZT)
41	0.271	1.40	21	0.27
42	0.238	1.44	24	0.18
43	0.277	1.42	9	0.66
44	0.279	1.46	7	0.84
45	0.283	1.49	6	0.99
46	0.298	1.58	7	0.88
47	-0.306	1.39	23	0.32
48	-0.323	1.46	27	0.29
49	-0.311	1.47	9	0.80
50	-0.314	1.53	7	1.01
51	-0.317	1.58	6	1.17
52	-0.339	1.63	7	1.11

### Example 7

In order to produce mother particles of silicon thermoelectric semiconductors, high-purity silicon or  $\text{Si}_{0.97}\text{Ge}_{0.03}$  was put in a graphite crucible and vacuum melted in a high-frequency melting furnace. After melting, the material was cast into a mold with a thickness of 10 mm, and the ingots were ground and then pulverized to an average crystal grain size of 10 to 50  $\mu\text{m}$  in a stamp mill and a ball mill. The ball mill was a wet type and made use of a xylene solvent. The ground powder (mother particles) was put in a vacuum chamber at  $10^{-3}$  Torr, and the surface of the particles was coated (film thickness: 10 to 100 nm) with the carrier-generating dopants shown in Table 13.

The powder thus obtained was subjected to hot pressing in argon for 1 hour at 1325K and 100 MPa to obtain sinters. The sinter samples were cut to sizes of  $5 \times 5 \times 15$  mm,  $10 \times 10 \times 2$  mm, and 10 (outside diameter)  $\times$  2 mm, and the Seebeck coefficient, Hall coefficient (including carrier concentration and electrical conductivity), and thermal conductivity of each were measured. Table 14 shows the measured values at 1100K and the Figure of merit ( $ZT = S^2T/\rho_K$ ).

### Example 8

In order to produce mother particles of p- and n-type silicon thermoelectric semiconductors, high-purity silicon or  $\text{Si}_{0.97}\text{Ge}_{0.03}$  was put in a graphite crucible and vacuum melted in a high-frequency melting furnace. After melting, the material was cast into a mold with a thickness of 10 mm to obtain sheet-form ingots. The ingots were then pulverized, and then finely pulverized to an average crystal grain size of 1 to 10  $\mu\text{m}$  in a stamp mill and a jet mill.  $\text{N}_2$  gas was used in the jet mill, and the pressure was 0.7 MPa.

The powders (mother particles) thus obtained were put in a vacuum chamber and treated with  $\text{SiH}_4$  gas or  $\text{GeH}_4$  gas, and the powders were coated with dopants that generate carriers, such as boron, aluminum, gallium, phosphorus, arsenic, and antimony in the film thicknesses shown in Table 15.

The coated raw material powders were compression molded at a pressure of 200 MPa to sizes of  $5 \times 5 \times 15$  mm,  $10 \times 10 \times 2$  mm, and  $10$  (outside diameter)  $\times 2$  mm, and these products were sintered in a vacuum for 5 hours at 1325K. The Seebeck coefficient, Hall coefficient (including carrier concentration and electrical conductivity), and thermal conductivity of each of the sinters thus obtained were measured by the same methods as in Example 1. Table 16 shows the measured values at 1100K and the Figure of merit ( $ZT = S^2T/\rho\kappa$ ).

#### Example 9

In order to produce mother particles of silicon thermoelectric semiconductors, high-purity silicon (10N) was put in a graphite crucible and vacuum melted in a high-frequency melting furnace. The melt was cast into a mold with a thickness of 10 mm to obtain sheet-form ingots. The ingots were ground and then finely pulverized to an average crystal grain size of 10 to 50  $\mu\text{m}$  in a stamp mill and a ball mill. The ball mill was a wet type and made use of a xylene solvent.

Added elements for producing daughter particles forming a coating around the silicon were compounded as shown in Table 17, after which the material was put in a graphite crucible and vacuum melted in a high-frequency melting furnace. The melt discharged through a nozzle with an inside diameter of 3 mm, and the melts were quenched by blowing argon

gas on them at 3 MPa, resulting in an average diameter of 30 to 100  $\mu\text{m}$ . The obtained mother particles were coated with the daughter particles by mechanofusion such that the daughter particles were in a specific weight ratio.

The coated raw material powders were sintered by discharge plasma in an argon atmosphere. The sintering conditions were 180 seconds at 1325K. The sample sinters were cut to sizes of  $5 \times 5 \times 15$  mm,  $10 \times 10 \times 2$  mm, and 10 (outside diameter)  $\times$  2 mm, and the Seebeck coefficient, Hall coefficient (including carrier concentration and electrical conductivity), and thermal conductivity of each were measured by the same methods as in Example 1. Table 18 shows the measured values at 1100K and the Figure of merit ( $ZT = S^2T/\rho\kappa$ ).

Table 13

No.	Composition of mother particles	Diameter of mother particles ( $\mu\text{m}$ )	Dopant	Coating film thickness (nm)	Sintering temp. (K)	Sintering time (h)
1	Si	10	B	10	1325	1
2	Si	30	B	30	1325	1
3	Si	30	Al	50	1325	1
4	Si <sub>0.97</sub> Ge <sub>0.03</sub>	10	B	10	1325	1
5	Si <sub>0.97</sub> Ge <sub>0.03</sub>	30	B	30	1325	1
6	Si <sub>0.97</sub> Ge <sub>0.03</sub>	30	Ga	50	1325	1
7	Si	10	P	30	1325	1
8	Si	30	P	60	1325	1
9	Si	30	As	90	1325	1
10	Si <sub>0.97</sub> Ge <sub>0.03</sub>	10	P	30	1325	1
11	Si <sub>0.97</sub> Ge <sub>0.03</sub>	30	P	60	1325	1
12	Si <sub>0.97</sub> Ge <sub>0.03</sub>	30	Sb	90	1325	1

Table 14

No.	Seebeck coefficient (mV/K)	Electrical resistivity $\times 10^{-5}$ ( $\Omega \cdot m$ )	Thermal conductivity (W/m $\cdot$ K)	Figure of merit (ZT)
1	0.267	1.31	29	0.21
2	0.259	1.28	31	0.19
3	0.234	1.41	35	0.12
4	0.271	1.35	9	0.66
5	0.269	1.33	11	0.54
6	0.289	1.42	10	0.65
7	-0.301	1.30	30	0.26
8	-0.299	1.28	32	0.24
9	-0.293	1.32	37	0.19
10	-0.309	1.34	9	0.87
11	-0.306	1.30	10	0.79
12	-0.329	1.48	10	0.80

Table 15

No.	Composition of mother particles	Diameter of mother particles ( $\mu\text{m}$ )	Dopant	Coating film thickness (nm)	Sintering temp. (K)	Sintering time (h)
21	Si	3	B	3	1325	5
22	Si	10	B	10	1325	5
23	Si	10	Al	15	1325	5
24	Si <sub>0.97</sub> Ge <sub>0.03</sub>	3	B	3	1325	5
25	Si <sub>0.97</sub> Ge <sub>0.03</sub>	10	B	10	1325	5
26	Si <sub>0.97</sub> Ge <sub>0.03</sub>	10	Ga	15	1325	5
27	Si	3	P	10	1325	5
28	Si	10	P	20	1325	5
29	Si	10	As	30	1325	5
30	Si <sub>0.97</sub> Ge <sub>0.03</sub>	3	P	10	1325	5
31	Si <sub>0.97</sub> Ge <sub>0.03</sub>	10	P	20	1325	5
32	Si <sub>0.97</sub> Ge <sub>0.03</sub>	10	Sb	30	1325	5

Table 16

No.	Seebeck coefficient (mV/K)	Electrical resistivity $\times 10^{-5}$ ( $\Omega \cdot m$ )	Thermal conductivity (W/m·K)	Figure of merit (ZT)
21	0.271	1.39	24	0.24
22	0.262	1.31	29	0.20
23	0.237	1.46	31	0.14
24	0.273	1.40	8	0.73
25	0.271	1.37	10	0.59
26	0.291	1.46	10	0.64
27	-0.303	1.35	26	0.29
28	-0.301	1.32	29	0.26
29	-0.295	1.37	32	0.22
30	-0.311	1.39	8	0.96
31	-0.309	1.36	9	0.86
32	-0.331	1.52	9	0.88



Table 17

No.	Composition of mother particles	Diameter of mother particles ( $\mu\text{m}$ )	Composition of daughter particles	Diameter of daughter particles ( $\mu\text{m}$ )	Sintering temp. (K)	Sintering time (sec)
41	Si	10	B	1	1325	180
42	Si	30	B	3	1325	180
43	Si	30	Al	3	1325	180
44	Si	10	Ge0.9B0.1	1	1325	180
45	Si	30	Ge0.9B0.1	3	1325	180
46	Si	30	Ge0.9Ga0.1	3	1325	180
47	Si	10	P	1	1325	180
48	Si	30	P	3	1325	180
49	Si	30	As	3	1325	180
50	Si	10	Ge0.8P0.2	1	1325	180
51	Si	30	Ge0.8P0.2	3	1325	180
52	Si	30	Ge0.8Sb0.2	3	1325	180

Table 18

No.	Seebeck coefficient (mV/K)	Electrical resistivity $\times 10^{-5}$ ( $\Omega\cdot\text{m}$ )	Thermal conductivity (W/m·K)	Figure of merit (ZT)
41	0.273	1.40	28	0.21
42	0.265	1.33	31	0.19
43	0.240	1.49	33	0.13
44	0.275	1.42	9	0.65
45	0.274	1.39	10	0.59
46	0.294	1.48	10	0.64
47	-0.304	1.37	28	0.27
48	-0.302	1.35	30	0.25
49	-0.297	1.39	33	0.21
50	-0.314	1.41	9	0.85
51	-0.312	1.39	10	0.77
52	-0.333	1.54	10	0.79

### Example 10

A silicon (111) wafer was put in a vacuum chamber at  $10^{-6}$  Torr, the added elements shown in Table 19 were formed by electron beam heating alternately as layer A and layer B for 50 laminations in the thicknesses shown in Table 19.

The samples on the silicon wafers thus obtained were cut to sizes of  $5 \times 15$  mm,  $10 \times 10$  mm, and 10 mm (outside diameter), and the Seebeck coefficient, Hall coefficient (including carrier concentration and electrical conductivity), and thermal conductivity of each were measured along with the silicon wafer. Table 20 shows the measured values at 1100K and the Figure of merit ( $ZT = S^2T/\rho\kappa$ ).

The temperature differential between the high and low temperature portions during temperature elevation was set to be about 6K, the thermoelectromotive force of the samples was measured with a digital multimeter, and this value was divided by the temperature differential to find the Seebeck coefficient. The Hall coefficient was measured by AC method, and the electrical resistance was measured by four-probe method simultaneously with the carrier concentration. The thermal conductivity was measured by laser flash method.

### Example 11

A silicon (111) wafer was put in a vacuum chamber at  $10^{-2}$  Torr, the added elements shown in Table 21 were formed by sputtering alternately as layer A and layer B for 50 laminations in the thicknesses shown in Table 21.

The samples on the silicon wafers thus obtained were cut to sizes of  $5 \times 15$  mm,  $10 \times 10$  mm, and 10 mm (outside diameter), and the Seebeck

coefficient, Hall coefficient (including carrier concentration and electrical conductivity), and thermal conductivity of each were measured along with the silicon wafer. Table 22 shows the measured values at 1100K and the Figure of merit ( $ZT = S^2T/\rho\kappa$ ).

Table 19

No.	Composition of layer A	Layer A thickness (nm)	Composition of layer B	Layer B thickness (nm)	Cycles (times)	Heating temp. (K)	Heating time (h)
1	Si	20	B	1	50	873	1
2	Si	50	B	3	50	873	1
3	Si	50	Al	3	50	873	1
4	Si	20	Ge <sub>0.9</sub> B <sub>0.1</sub>	1	50	873	1
5	Si	50	Ge <sub>0.9</sub> B <sub>0.1</sub>	3	50	873	1
6	Si	50	Ge <sub>0.8</sub> Ga <sub>0.2</sub>	3	50	873	1
7	Si	20	P	2	50	873	1
8	Si	50	P	5	50	873	1
9	Si	50	As	5	50	873	1
10	Si	20	Ge <sub>0.8</sub> P <sub>0.2</sub>	2	50	873	1
11	Si	50	Ge <sub>0.8</sub> P <sub>0.2</sub>	5	50	873	1
12	Si	50	Ge <sub>0.8</sub> Sb <sub>0.2</sub>	5	50	873	1

Table 20

No.	Seebeck coefficient (mV/K)	Electrical resistivity $\times 10^{-5}$ ( $\Omega \cdot m$ )	Thermal conductivity (W/m·K)	Figure of merit (ZT)
1	0.273	1.51	21	0.26
2	0.264	1.47	24	0.22
3	0.241	1.75	29	0.13
4	0.278	1.59	7	0.76
5	0.276	1.49	8	0.70
6	0.295	1.82	8	0.66
7	-0.309	1.62	19	0.34
8	-0.306	1.53	21	0.32
9	-0.300	1.64	28	0.22
10	-0.316	1.57	7	1.00
11	-0.312	1.53	8	0.87
12	-0.334	1.67	8	0.92

Table 21

No.	Composition of layer A	Layer A thickness (nm)	Composition of layer B	Layer B thickness (nm)	Cycles (times)	Heating temp. (K)	Heating time (h)
21	Si	20	B	1	50	873	1
22	Si	50	B	3	50	873	1
23	Si	50	Al	3	50	873	1
24	Si <sub>0.9</sub> Ge <sub>0.1</sub>	20	B	1	50	873	1
25	Si <sub>0.9</sub> Ge <sub>0.1</sub>	50	B	3	50	873	1
26	Si <sub>0.9</sub> Ge <sub>0.1</sub>	50	Ga	3	50	873	1
27	Si	20	P	2	50	873	1
28	Si	50	P	5	50	873	1
29	Si	50	As	5	50	873	1
30	Si <sub>0.9</sub> Ge <sub>0.1</sub>	20	P	2	50	873	1
31	Si <sub>0.9</sub> Ge <sub>0.1</sub>	50	P	5	50	873	1
32	Si <sub>0.9</sub> Ge <sub>0.1</sub>	50	Sb	5	50	873	1

Table 22

No.	Seebeck coefficient (mV/K)	Electrical resistivity $\times 10^{-5}$ ( $\Omega\cdot\text{m}$ )	Thermal conductivity (W/m $\cdot$ K)	Figure of merit (ZT)
21	0.269	1.41	23	0.25
22	0.261	1.37	25	0.22
23	0.237	1.64	30	0.13
24	0.272	1.49	8	0.68
25	0.270	1.40	9	0.64
26	0.290	1.72	9	0.60
27	-0.301	1.52	21	0.31
28	-0.299	1.43	23	0.30
29	-0.294	1.54	29	0.21
30	-0.311	1.47	8	0.90
31	-0.306	1.43	9	0.80
32	-0.328	1.57	9	0.84



### Example 12

In order to produce a p-type silicon thermoelectric semiconductor, high-purity silicon (10N), a Group IV element (germanium, carbon, or tin, the added element Bnc that does not generate carriers), and a Group III element (the dopant Ap or An that does generate carriers) were compounded as shown in Table 23, after which they were arc melted in an argon gas atmosphere. The button-shaped ingots thus obtained were cut to sizes of  $5 \times 5 \times 15$  mm,  $10 \times 10 \times 2$  mm, and  $10$  (diameter)  $\times 2$  mm, and the Seebeck coefficient (S), Hall coefficient (including the carrier concentration (n) and electrical conductivity ( $\rho$ )), and thermal conductivity ( $\kappa$ ) were measured for each.

The temperature differential between the high and low temperature portions was set to 6K, the thermoelectromotive force of the p-type semiconductors in which the average temperature between the high and low temperature portions was  $200^{\circ}\text{C}$  (473K) was measured with a digital multimeter, and this value was divided by the temperature differential (6K) to find the Seebeck coefficient. The Hall coefficient was measured by applying an AC magnetic field of 0.4T at 473K, and the electrical resistance was measured by four-probe method simultaneously with the carrier concentration. The thermal conductivity was measured at 473K by laser flash method.

Tables 23 and 24 show the obtained measurement values and the Figure of merit ( $Z = S^2/\rho\kappa$ ). The measurement values of an Si-Ge alloy (containing 30 at% germanium) and of silicon not doped with germanium are also shown as comparative examples.

### Example 13

In order to produce an n-type silicon thermoelectric semiconductor, high-purity silicon (10N), a Group IV element (germanium, carbon, or tin, the added element Bnc that does not generate carriers), and a Group V element (the dopant Ap or An that does generate carriers) were compounded as shown in Table 24, after which they were arc melted in an argon gas atmosphere. The button-shaped ingots thus obtained were cut to sizes of  $5 \times 5 \times 5$  mm,  $10 \times 10 \times 2$  mm, and  $10$  (diameter)  $\times 2$  mm.

The Seebeck coefficient (S), Hall coefficient (including the carrier concentration (n) and electrical conductivity ( $\rho$ )), and thermal conductivity ( $\kappa$ ) were measured for each in the same manner as in Example 1. Tables 25 and 26 show the obtained measurement values and the Figure of merit ( $Z = S^2/\rho\kappa$ ). As a comparative material, measurement values are also given for an Si-Ge alloy (30 at% germanium) and silicon to which no germanium was added.

### Example 14

In order to produce p- and n-type silicon thermoelectric semiconductors, high-purity silicon (10N), a Group IV element (germanium, carbon, or tin, the added element Bnc1 that does not generate carriers), a Group III-V compound semiconductor (GaP, GaAs) or a Group II-VI compound semiconductor (ZnS) (the compound semiconductor is the added element Bnc2 that does not generate carriers), and a Group II element and Group III element, or a Group V element and Group VI element that do generate carriers were compounded as shown in Table 25, after which they were melted in a high-frequency melting furnace in a 40 kPa argon gas atmosphere.

The ingots thus obtained were cut to sizes of  $5 \times 5 \times 5$  mm,  $10 \times 10 \times 2$  mm, and  $10$  (diameter)  $\times 2$  mm. The Seebeck coefficient (S), Hall coefficient (including the carrier concentration (n) and electrical conductivity ( $\rho$ )), and thermal conductivity (K) were measured for each. Tables 27 and 28 show the obtained measurement values and the Figure of merit ( $Z = S^2/\rho\kappa$ ). As a comparative material, measurement values are also given for an Si-Ge alloy (6.5 at% germanium) to which no Group III-V compound semiconductor or Group II-VI compound semiconductor was added.

Table 23

	No.	Matrix	Added element Bnc		Dopant Ap,An		Carrier concentration $n(M/m^3)$
			Element name	Doping amount (at%)	Element name	Doping amount (at%)	
Example	1	Si	Ge	5	B	0.3	$1.82 \times 10^{+20}$
	2	Si	Ge	6.5	B	0.3	$1.78 \times 10^{+20}$
	3	Si	Ge	8	Al	0.3	$1.65 \times 10^{+20}$
	4	Si	Ge	10	B	0.3	$1.68 \times 10^{+20}$
	5	Si	Ge	10	B + Al	0.3 + 0.5	$4.71 \times 10^{+20}$
	6	Si	C	6.5	B	0.3	$1.72 \times 10^{+20}$
	7	Si	C	8	Al	0.3	$1.77 \times 10^{+20}$
	8	Si	Sn	6.5	B	0.3	$1.62 \times 10^{+20}$
Comparative Example	9	Si	Ge	3	B	0.3	$1.90 \times 10^{+20}$
	10	Si	Ge	12	B	0.3	$1.65 \times 10^{+20}$
	11	Si	Ge	20	B	0.3	$1.54 \times 10^{+20}$
	12	Si	Ge	30	B	0.3	$1.59 \times 10^{+20}$
	13	Si	-	-	B	0.3	$2.00 \times 10^{+20}$

Table 24

	No.	Thermoelectric characteristics			
		Seebeck coefficient $S(\text{mV/K})$	Electrical resistance $\rho(\Omega\cdot\text{m})$	Thermal conductivity $\kappa(\text{W/m}\cdot\text{K})$	Figure of merit (/K)
Example	1	0.30	$7.70\times 10^{-6}$	8.2	$1.4\times 10^{-3}$
	2	0.32	$7.90\times 10^{-6}$	7.6	$1.7\times 10^{-3}$
	3	0.34	$8.10\times 10^{-6}$	7.2	$2.0\times 10^{-3}$
	4	0.33	$8.30\times 10^{-6}$	6.8	$1.9\times 10^{-3}$
	5	0.20	$4.20\times 10^{-6}$	5.3	$1.8\times 10^{-3}$
	6	0.27	$6.90\times 10^{-6}$	10.0	$1.1\times 10^{-3}$
	7	0.26	$7.40\times 10^{-6}$	8.5	$1.1\times 10^{-3}$
	8	0.29	$8.20\times 10^{-6}$	10.9	$9.4\times 10^{-4}$
Comparative Example	9	0.29	$7.60\times 10^{-6}$	14.0	$7.9\times 10^{-4}$
	10	0.26	$9.40\times 10^{-6}$	6.8	$1.0\times 10^{-3}$
	11	0.24	$9.90\times 10^{-6}$	6.6	$8.8\times 10^{-4}$
	12	0.22	$8.70\times 10^{-6}$	6.3	$8.8\times 10^{-4}$
	13	0.33	$7.30\times 10^{-6}$	65.0	$2.3\times 10^{-4}$

Table 25

	No.	Matrix	Added element Bnc		Dopant Ap,An		Carrier concentration $n(\text{M}/\text{m}^3)$
			Element name	Doping amount (at%)	Element name	Doping amount (at%)	
Example	21	Si	Ge	5	P	1.0	$1.92 \times 10^{+20}$
	22	Si	Ge	6.5	P	1.0	$1.84 \times 10^{+20}$
	23	Si	Ge	8	As	1.0	$1.69 \times 10^{+20}$
	24	Si	Ge	10	P	1.0	$1.73 \times 10^{+20}$
	25	Si	Ge	10	P+Sb	3.0+5.0	$4.01 \times 10^{+20}$
	26	Si	C	6.5	P	1.0	$1.85 \times 10^{+20}$
	27	Si	C	8	Bi	1.0	$1.76 \times 10^{+20}$
	28	Si	Sn	6.5	P	1.0	$1.82 \times 10^{+20}$
Comparative Example	29	Si	Ge	3	P	1.0	$1.98 \times 10^{+20}$
	30	Si	Ge	12	P	1.0	$1.67 \times 10^{+20}$
	31	Si	Ge	20	P	1.0	$1.61 \times 10^{+20}$
	32	Si	Ge	30	P	1.0	$1.62 \times 10^{+20}$
	33	Si	-	-	P	1.0	$2.08 \times 10^{+20}$

Table 26

	No.	Thermoelectric characteristics			
		Seebeck coefficient $S(\text{mV/K})$	Electrical resistance $\rho(\Omega\cdot\text{m})$	Thermal conductivity $\kappa(\text{W/m}\cdot\text{K})$	Figure of merit (/K)
Example	21	-0.31	$7.90 \times 10^{-6}$	9.3	$1.3 \times 10^{-4}$
	22	-0.34	$8.20 \times 10^{-6}$	8.2	$1.7 \times 10^{-3}$
	23	-0.37	$8.40 \times 10^{-6}$	7.5	$2.2 \times 10^{-3}$
	24	-0.35	$8.60 \times 10^{-6}$	7.0	$2.0 \times 10^{-3}$
	25	-0.24	$3.90 \times 10^{-6}$	6.3	$2.3 \times 10^{-3}$
	26	-0.29	$7.20 \times 10^{-6}$	10.6	$1.1 \times 10^{-3}$
	27	-0.30	$7.70 \times 10^{-6}$	8.8	$1.3 \times 10^{-3}$
	28	-0.31	$8.20 \times 10^{-6}$	11.2	$1.0 \times 10^{-3}$
Comparative Example	29	-0.31	$7.90 \times 10^{-6}$	13.2	$9.2 \times 10^{-4}$
	30	-0.27	$1.02 \times 10^{-5}$	6.9	$1.0 \times 10^{-3}$
	31	-0.25	$1.04 \times 10^{-5}$	6.7	$9.0 \times 10^{-4}$
	32	-0.24	$9.00 \times 10^{-6}$	6.5	$9.8 \times 10^{-4}$
	33	-0.35	$7.80 \times 10^{-6}$	58.0	$2.7 \times 10^{-4}$

Table 27

	No.	Matrix	Added element Bnc1		Added element Bnc2		Dopant Ap,An		Carrier concentration  $n(M/m^3) \times 10^{20}$
			Element name	Doping amount (at%)	Element name	Doping amount (at%)	Element name	Doping amount (at%)	
Example	41	Si	Ge	6.5	GaP	3.0	B	0.6	1.87
	42	Si	Ge	6.5	GaP	3.0	P	1.0	2.01
	43	Si	Ge	6.5	GaP	5.0	B	0.6	1.84
	44	Si	Ge	6.5	GaP	5.0	P	1.0	2.03
	45	Si	Ge	6.5	GaAs	3.0	Al	0.6	1.85
	46	Si	Ge	6.5	GaAs	3.0	As	1.0	1.99
	47	Si	Ge	6.5	ZnS	3.0	Be	0.3	1.79
	48	Si	Ge	6.5	ZnS	3.0	S	1.0	1.96
Comparative Example	49	Si	Ge	6.5	-	-	B	0.3	1.79
	50	Si	Ge	6.5	-	-	P	1.0	1.86



Table 28

	No.	Thermoelectric characteristics			
		Seebeck coefficient $S(\text{mV/K})$	Electrical resistance $\rho(\Omega\cdot\text{m})$	Thermal conductivity $\kappa(\text{W/m}\cdot\text{K})$	Figure of merit (/K)
Example	41	0.36	$8.40\times 10^{-6}$	7.2	$2.1\times 10^{-3}$
	42	-0.36	$7.20\times 10^{-6}$	7.9	$2.3\times 10^{-3}$
	43	0.37	$8.80\times 10^{-6}$	6.9	$2.3\times 10^{-3}$
	44	-0.38	$6.90\times 10^{-6}$	7.6	$2.8\times 10^{-3}$
	45	0.34	$8.80\times 10^{-5}$	7.5	$1.8\times 10^{-3}$
	46	-0.35	$7.30\times 10^{-6}$	7.8	$2.2\times 10^{-3}$
	47	0.39	$9.30\times 10^{-6}$	7.4	$2.2\times 10^{-3}$
	48	-0.38	$7.70\times 10^{-6}$	7.9	$2.4\times 10^{-3}$
Comparative Example	49	0.32	$7.90\times 10^{-6}$	7.6	$1.7\times 10^{-3}$
	50	-0.34	$8.20\times 10^{-6}$	8.2	$1.7\times 10^{-3}$

### Example 15

In order to produce a p-type silicon thermoelectric semiconductor, high-purity silicon (10N), germanium, and a dopant that generates carriers were weighed out in the specific proportions shown in Table 29, after which they were arc melted in an argon gas atmosphere. The button-shaped ingots thus obtained were coarsely ground, then ground in a disk mill, then ground in a jet mill to produce powders with the average particle sizes shown in Table 29.

After this, the hot pressing conditions shown in Table 30 were maintained for 1 hour to produce sinters having the various porosities shown in Table 30. The discharge plasma sintering conditions shown in Table 31 were then maintained for 3 minutes to produce sinters having the various porosities shown in Table 31. An SPS-2040 made by IzumiTech Ltd. was used for the discharge plasma sintering apparatus.

The sinters thus obtained were cut to sizes of  $5 \times 5 \times 5$  mm,  $10 \times 10 \times 2$  mm, and  $10$  (outside diameter)  $\times 2$  mm to produce samples for measuring the Seebeck coefficient, Hall coefficient (including the carrier concentration and electrical conductivity), and thermal conductivity of each.

Using platinum for both the electrode in the high temperature portion and the electrode in the low temperature portion, the temperature differential between these electrodes was set to 6K, the thermoelectromotive force of the p-type semiconductors in which the average temperature between the high and low temperature portions was 323K was measured, and this thermoelectromotive force was divided by 6K to find the Seebeck coefficient.

The Hall coefficient was measured by AC method at 323K, and the electrical resistivity was also measured by four-probe method at that time. The thermal conductivity was measured at 323K by laser flash method. These measurement results are given in Tables 30 and 31.

Table 29

	Sample No	Composition(at%)		Dopant		Carrier concentration (M/cm <sup>3</sup> )	Average pulverized particle size ( $\mu$ m)	Electrode type
		Si	Ge	Element	Doping amount (at%)			
Example 1	A	99.5	0.5	B	0.2	$9.2 \times 10^{19}$	4.2	P
	B	95.0	5.0	B	0.3	$1.5 \times 10^{20}$	3.8	P
	C	95.0	5.0	P	0.4	$1.8 \times 10^{20}$	4.0	N
	D	80.0	20.0	GaP	0.4	$2.2 \times 10^{20}$	4.5	N
				P	0.3			
	E	70.0	30.0	B	0.3	$1.2 \times 10^{20}$	4.8	P

Table 30

No.	Sample	Electrode type	Hot pressing conditions		Porosity (%)	Seebeck coefficient (mV/K)	Electrical resistivity ( $\Omega\cdot\text{m}$ )	Thermal conductivity (W/mK)	Figure of merit (1/K)
			Temp. (K)	Pressure (MPa)					
1	A	P	----	----	0	0.096	$6.68\times 10^{-6}$	54.0	$2.5\times 10^{-5}$
2	A	P	1573	147	5	0.091	$7.12\times 10^{-6}$	32.1	$3.6\times 10^{-5}$
3	A	P	1573	49	8	0.087	$7.65\times 10^{-6}$	27.5	$3.6\times 10^{-5}$
4	A	P	1543	49	22	0.080	$8.14\times 10^{-6}$	21.3	$3.7\times 10^{-5}$
5	B	P	----	----	0	0.142	$1.11\times 10^{-5}$	8.75	$2.1\times 10^{-4}$
6	B	P	1553	147	8	0.136	$1.32\times 10^{-5}$	5.18	$2.7\times 10^{-4}$
7	B	P	1523	49	23	0.124	$1.47\times 10^{-5}$	3.72	$2.8\times 10^{-4}$
8	B	P	1493	49	40	0.113	$1.92\times 10^{-5}$	2.81	$2.4\times 10^{-4}$
9	C	N	----	----	0	0.168	$9.31\times 10^{-6}$	10.2	$3.0\times 10^{-4}$
10	C	N	1553	147	10	0.149	$9.84\times 10^{-6}$	6.53	$3.5\times 10^{-4}$
11	C	N	1523	49	22	0.137	$1.13\times 10^{-5}$	4.01	$4.1\times 10^{-4}$
12	C	N	1493	49	31	0.131	$1.32\times 10^{-5}$	3.42	$3.8\times 10^{-4}$
13	C	N	1463	49	41	0.124	$1.65\times 10^{-5}$	3.25	$2.9\times 10^{-4}$
14	D	N	----	----	0	0.141	$6.10\times 10^{-6}$	5.10	$6.4\times 10^{-4}$
15	D	N	1503	147	11	0.133	$6.42\times 10^{-6}$	3.80	$7.3\times 10^{-4}$
16	D	N	1473	49	27	0.121	$7.15\times 10^{-6}$	3.04	$6.7\times 10^{-4}$
17	D	N	1423	147	34	0.115	$8.04\times 10^{-6}$	2.53	$6.5\times 10^{-4}$
18	D	N	1403	49	43	0.101	$9.04\times 10^{-6}$	2.36	$4.8\times 10^{-4}$
19	E	P	----	----	0	0.121	$8.51\times 10^{-6}$	5.37	$3.2\times 10^{-4}$
20	E	P	1473	147	8	0.110	$9.13\times 10^{-6}$	4.62	$2.9\times 10^{-4}$
21	E	P	1443	49	24	0.104	$9.97\times 10^{-6}$	3.68	$2.9\times 10^{-4}$
22	E	P	1393	49	41	0.093	$1.29\times 10^{-6}$	2.54	$2.6\times 10^{-4}$

Table 31

No.	Sample	Electrode type	Discharge plasma sintering conditions		Porosity (%)	Seebeck coefficient (mV/K)	Electrical resistivity ( $\Omega\cdot\text{m}$ )	Thermal conductivity (W/mK)	Figure of merit (1/K)
			Temp. (K)	Pressure (MPa)					
1	A	P	----	----	0	0.096	$6.68 \times 10^{-6}$	54.0	$2.5 \times 10^{-5}$
23	A	P	1573	49	23	0.080	$8.16 \times 10^{-6}$	21.3	$3.7 \times 10^{-5}$
24	A	P	1543	49	34	0.072	$8.74 \times 10^{-6}$	18.4	$3.2 \times 10^{-5}$
5	B	P	----	----	0	0.142	$1.11 \times 10^{-5}$	8.75	$2.1 \times 10^{-4}$
25	B	P	1553	49	18	0.131	$1.36 \times 10^{-5}$	4.14	$3.0 \times 10^{-4}$
26	B	P	1493	49	46	0.101	$2.05 \times 10^{-5}$	2.64	$1.9 \times 10^{-4}$
9	C	N	----	----	0	0.168	$9.31 \times 10^{-6}$	10.2	$3.0 \times 10^{-4}$
27	C	N	1553	49	16	0.141	$1.03 \times 10^{-6}$	5.14	$3.8 \times 10^{-4}$
28	C	N	1463	49	46	0.117	$1.84 \times 10^{-5}$	2.98	$2.5 \times 10^{-4}$
12	D	N	----	----	0	0.141	$6.10 \times 10^{-6}$	5.10	$6.4 \times 10^{-4}$
29	D	N	1503	49	14	0.127	$6.74 \times 10^{-6}$	3.42	$7.0 \times 10^{-4}$
30	D	N	1423	49	40	0.107	$8.76 \times 10^{-6}$	2.78	$4.7 \times 10^{-4}$
18	D	N	1403	49	43	0.101	$9.04 \times 10^{-6}$	2.54	$4.4 \times 10^{-4}$
31	D	N	1473	49	21	0.106	$9.73 \times 10^{-6}$	4.14	$2.8 \times 10^{-4}$

## INDUSTRIAL APPLICABILITY

Silicon, the main component of the thermoelectric conversion material of the present invention, is an outstanding material in terms of protecting the global environment and conserving the earth's resources, and also affords excellent safety. Furthermore, it is light (has a low specific gravity), which makes it very favorable for thermoelectric conversion elements used in automobiles. Bulk silicon also has good corrosion resistance, which is an advantage in that no surface treatment or the like is needed.

Because it makes use of silicon as its main component, the thermoelectric conversion material of the present invention is less expensive than Si-Ge-based materials containing large quantities of costly germanium, and provides a higher Figure of merit than Fe-Si-based materials. Furthermore, the silicon used in the present invention is much lower in purity than that used in semiconductor devices, so the raw material is available at relatively low cost, the result of which is a thermoelectric conversion material with good productivity, stable quality, and low cost.

The thermoelectric conversion material of the present invention takes full advantage of the characteristics of silicon, namely, its low electrical resistance and large Seebeck coefficient despite having a large carrier concentration, and also greatly ameliorates its drawback of high thermal conductivity, and is therefore an effective way to obtain a material with a high Figure of merit. Another advantage is that the properties can be controlled by means of the type and amount of added elements.

As is clear from the examples, the thermoelectric conversion material of the present invention containing 5 to 10 at% of at least one of

germanium, carbon, and tin has a Seebeck coefficient of  $\pm 2.0$  to  $4.0 \times 10^{-4}$  V/K, which means that the thermal conductivity of this novel silicon-based thermoelectric conversion can be greatly decreased to less than  $50 \text{ W/m} \cdot \text{K}$  and the Figure of merit markedly enhanced without decreasing the Seebeck coefficient originally had by the material.

Also, as is clear from the examples, the thermoelectric conversion material of the present invention with a porosity of 5 to 40% has a Seebeck coefficient of 0.07 to 0.200 mV/K, which means that the thermal conductivity of this novel silicon-based thermoelectric conversion can be greatly decreased to less than  $33 \text{ W/m} \cdot \text{K}$  and the Figure of merit markedly enhanced without decreasing the Seebeck coefficient originally had by the material.



## CLAIMS

1. A thermoelectric conversion material having a crystal structure in which a added element or a combination of added elements is or are contained in an amount of 0.001 to 30 at% in silicon, and at least one type of added element is deposited on crystal grains in which silicon accounts for at least 80 at% of the polycrystal structure, and at the grain boundary thereof.
2. A thermoelectric conversion material having a crystal structure in which a dopant or a combination of dopants that generate carriers is or are contained in an amount of 0.001 to 20 at% in silicon, and at least one type of added element is deposited on crystal grains in which silicon accounts for at least 80 at% of the polycrystal structure, and at the grain boundary thereof.
3. A thermoelectric conversion material having a crystal structure in which a dopant or a combination of dopants that generate carriers is or are contained in an amount of 0.001 to 20 at% and a added element or a combination of added elements that do not generate carriers is or are contained in an amount of 0.1 to 10 at% in silicon, and at least one type of added element is deposited on crystal grains in which silicon accounts for at least 80 at% of the polycrystal structure, and at the grain boundary thereof.

4. A thermoelectric conversion material having a crystal structure in which a added element or a combination of added elements that do not generate carriers is or are contained in an amount of 0.1 to 20 at% and a dopant or a combination of dopants that do generate carriers is or are contained in an amount of 0.001 to 10 at% in silicon, and at least one type of added element is deposited on crystal grains in which silicon accounts for at least 80 at% of the polycrystal structure, and at the grain boundary thereof.
5. A thermoelectric conversion material having a crystal structure in which a added element or a combination of added elements that do not generate carriers is or are contained in an amount of 0.1 to 10 at% and a dopant or a combination of dopants that do generate carriers is or are contained in an amount of 0.001 to 10 at% in silicon, and at least one type of added element is deposited on crystal grains in which silicon accounts for at least 80 at% of the polycrystal structure, and at the grain boundary thereof.

6. A thermoelectric conversion material having a crystal structure in which a added element or a combination of added elements that do not generate carriers (except for compound semiconductors) is or are contained in an amount of 5 to 10 at%, at least one type of Group III-V compound semiconductor or Group II-VI compound semiconductor is contained in an amount of 1 to 10 at%, and a dopant or a combination of dopants that do generate carriers is or are contained in an amount of 0.001 to 5 at% in silicon, and at least one type of added element is deposited on crystal grains in which silicon accounts for at least 80 at% of the polycrystal structure, and at the grain boundary thereof.
7. The thermoelectric conversion material according to Claims 1 to 6, wherein, of the added elements, the one that generates carriers and is used to make a p-type semiconductor (dopant  $A_p$ ) is one or more types selected from the group consisting of an  $A_{p1}$  group (Be, Mg, Ca, Sr, Ba, Zn, Cd, Hg, B, Al, Ga, In, Tl) and transition metal elements  $M_1$  (Y, Mo, Zr).
8. The thermoelectric conversion material according to Claims 1 to 6, wherein, of the added elements, the one that generates carriers and is used to make an n-type semiconductor (dopant  $A_n$ ) is one or more types selected from the group consisting of an  $A_{n1}$  group (N, P, As, Sb, Bi, O, S, Se, Te), transition metal elements  $M_2$  (Ti, V, Cr, Mn, Fe, Co, Ni, Cu, Nb, Ru, Rh, Pd, Ag, Hf, Ta, W, Re, Os, Ir, Pt, Au; where Fe accounts for 10 at% or less), and rare earth elements RE (La, Ce, Pr, Nd, Pm, Sm, Eu, Gd, Tb, Dy, Ho, Er, Yb, Lu).

9. The thermoelectric conversion material according to Claims 1, 3, 4, 5, and 6, wherein, of the added elements, the one that does not generate carriers is one or more types selected from the group consisting of Group IV elements other than silicon, Group III-V compound semiconductors, and Group II-VI compound semiconductors.
10. The thermoelectric conversion material according to Claims 1 through 9, wherein the material is an ingot quenched from a melt, a sinter, a heat treated laminate, or a material having a porosity of 5 to 40%.
11. The thermoelectric conversion material according to Claim 10, wherein the material consists of a p-type or n-type semiconductor material whose carrier concentration is  $10^{17}$  to  $10^{21}$  (M/m<sup>3</sup>) and whose thermal conductivity is no more than 50 W/m · K.
12. The thermoelectric conversion material according to Claim 10, wherein the added element that does not generate carriers is germanium, and the carrier concentration in the semiconductor is  $10^{19}$  to  $10^{21}$  (M/m<sup>3</sup>).
13. A method for manufacturing the thermoelectric conversion material according to Claims 1 to 6, including a step of cooling a melt such that added elements are contained in silicon, and with which a crystal structure is obtained in which at least one type of added element is deposited on crystal grains in which silicon accounts for at least 80 at% of the polycrystal structure, and at the grain boundary thereof.

14. The method for manufacturing a thermoelectric conversion material according to Claim 13, wherein the melting is arc melting or high-frequency melting.
15. The method for manufacturing a thermoelectric conversion material according to Claim 13, wherein the melting and cooling are accomplished by CZ method, FZ method, or ZL method.
16. A method for manufacturing the thermoelectric conversion material according to Claims 1 to 6, including a step of powderizing a material containing a added element in silicon, and a step of sintering the powder, and with which a crystal structure is obtained in which at least one type of added element is deposited on crystal grains in which silicon accounts for at least 80 at% of the polycrystal structure, and at the grain boundary thereof.
17. A method for manufacturing the thermoelectric conversion material according to Claims 1 to 6, including a step of cooling a melt such that added elements are contained in silicon, a step of powderizing the material thus obtained, and a step of sintering the powder, and with which a crystal structure is obtained in which at least one type of added element is deposited on crystal grains in which silicon accounts for at least 80 at% of the polycrystal structure, and at the grain boundary thereof.
18. A method for manufacturing a thermoelectric conversion material according to Claim 17, wherein a powder with an average crystal grain diameter of 1 to 50  $\mu\text{m}$  and an average particle diameter of 3 to 100  $\mu\text{m}$  is sintered.

19. A method for manufacturing the thermoelectric conversion material according to Claims 1 to 6, including a step of coating a silicon powder with a added element or embedding the latter in the former, and a step of sintering the silicon powder, and with which a crystal structure is obtained in which at least one type of added element is deposited on crystal grains in which silicon accounts for at least 80 at% of the polycrystal structure, and at the grain boundary thereof.
20. A method for manufacturing a thermoelectric conversion material according to Claim 19, wherein the added element is contained in the silicon powder itself.
21. A method for manufacturing a thermoelectric conversion material according to Claim 19, wherein the coating step is a vapor phase growth process or a discharge plasma treatment.
22. A method for manufacturing a thermoelectric conversion material according to Claim 19, wherein the embedding step is mechanofusion treatment.
23. A method for manufacturing the thermoelectric conversion material according to Claims 1 to 7, including a step of forming and laminating layers of silicon or including silicon and layers including added elements, either alternately or in the required pattern, and a step of subjecting the laminated area to a heat treatment, and with which a crystal structure is obtained in which at least one type of added element is deposited on crystal grains in which silicon accounts for at least 80 at% of the polycrystal structure, and at the grain boundary thereof.

24. A method for manufacturing the thermoelectric conversion material according to Claims 1 to 6, including a step of cooling a melt such that added elements are contained in silicon, a step of powderizing the material thus obtained, and a step of subjecting a powder to hot pressing or discharge plasma sintering to adjust the porosity to between 5 and 40%, and with which a crystal structure is obtained in which at least one type of added element is deposited on crystal grains in which silicon accounts for at least 80 at% of the polycrystal structure, and at the grain boundary thereof.

## ABSTRACT

It is an object of the present invention to provide a silicon-based thermoelectric conversion material and thermoelectric conversion element with which the thermal conductivity of a silicon-based thermoelectric conversion material can be lowered without decreasing the Seebeck coefficient and electrical conductivity of the material, which affords a marked increase in the Figure of merit. A polycrystal structure comprises crystal grains composed of a silicon-rich phase, and a added element-rich phase in which at least one type of added element is deposited at the grain boundary thereof, the result of which is an extremely large Seebeck coefficient and low thermal conductivity, allowing the thermoelectric conversion rate to be raised dramatically, and affording a silicon-based thermoelectric conversion material composed chiefly of silicon, which is an abundant resource, and which causes extremely little environmental pollution. For example, adding carbon, germanium, or tin to a silicon-based thermoelectric conversion material allows the thermal conductivity to be greatly reduced without changing the carrier concentration in the silicon-based material. A doping amount of 5 to 10 at% is ideal for lowering the thermal conductivity, and if a dopant that is added in order to produce a p- or n-type semiconductor and a group-IV element are deposited at the grain boundary of polycrystalline silicon, the resulting p- or n-type semiconductor will have a carrier concentration of  $10^{17}$  to  $10^{21}$  ( $M/m^3$ ) and a thermal conductivity of 50 W/m · K or less.



Fig. 1A

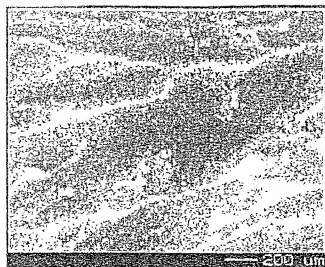


Fig. 1B

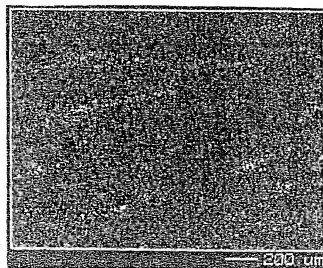


Fig. 2A

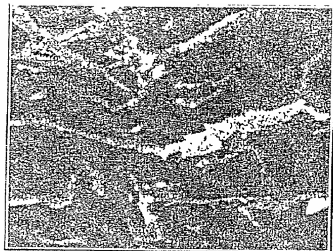


Fig. 2B

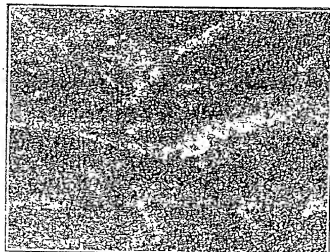


Fig. 3A



Fig. 3B

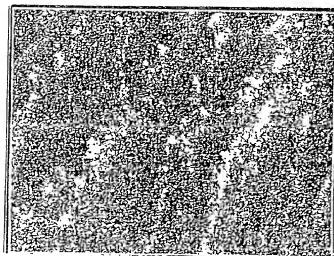


Fig. 4A

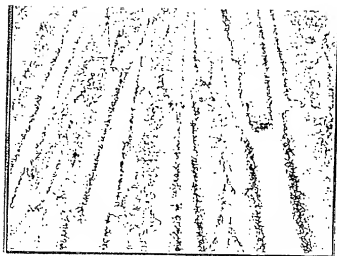


Fig. 4B

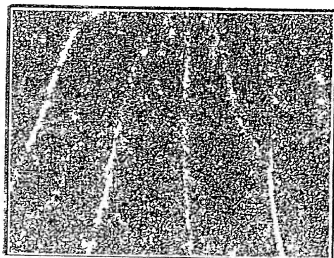


Fig. 5

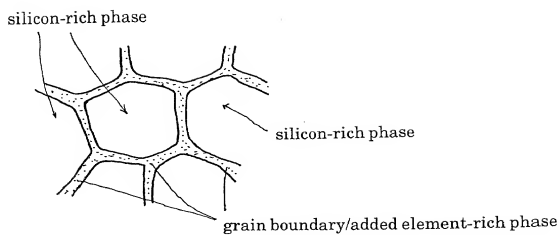


Fig. 6A

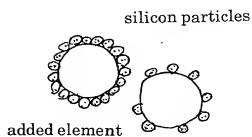
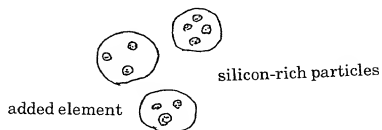


Fig. 6B



6 / 7

Fig. 7A

Ge + P
Si
Ge + P
Si
Ge + P
Si
Ge + P
Si (111)/ (100)

Fig. 7B

after heat treatment

Ge + P + $\Delta$ Si
Si + $\Delta$ P + $\Delta$ Ge
Ge + P + $\Delta$ Si
Si + $\Delta$ P + $\Delta$ Ge
Ge + P + $\Delta$ Si
Si + $\Delta$ P + $\Delta$ Ge
Ge + P + $\Delta$ Si
Si (111)/(100)

Fig. 8

	Ge + P + Si
Si + Ge	
	Ge + P + Si
Si + Ge	
	Ge + P + Si
Si + Ge	
	Ge + P + Si
Si (111)/(100)	

COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR UTILITY PATENT APPLICATION (Includes PCT)

Attorney Docket No.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; that

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

THERMOELECTRIC CONVERSION MATERIAL, AND METHOD FOR MANUFACTURING SAME.

the specification of which (check one): ☐ is attached hereto.

☐ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_.

☐ was filed as PCT international application no. \_\_\_\_\_ on \_\_\_\_\_, and was amended under PCT Article 19 on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I do not know and do not believe the claimed invention was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application.

I hereby claim foreign priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application(s) on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

<u>11-063074</u> (Number)	<u>Japan</u> (Country)	<u>10 March, 1999</u> Day/Month/Year Filed	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<u>11-063088</u> (Number)	<u>Japan</u> (Country)	<u>10 March, 1999</u> Day/Month/Year Filed	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<u>11-063093</u> (Number)	<u>Japan</u> (Country)	<u>10 March, 1999</u> Day/Month/Year Filed	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<u>11-063099</u> (Number)	<u>Japan</u> (Country)	<u>10 March, 1999</u> Day/Month/Year Filed	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
<u>11-243118</u> (Number)	<u>Japan</u> (Country)	<u>30 August, 1999</u> Day/Month/Year Filed	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No



I hereby claim the benefit under Title 35, United States Code, §119 (e) of any United States provisional application(s) listed below:

Application No.	Day/Month/Year Filed	Application No.	Day/Month/Year Filed
-----------------	----------------------	-----------------	----------------------

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below:

Application No.	Day/Month/Year Filed	Application No.	Day/Month/Year Filed
-----------------	----------------------	-----------------	----------------------

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
------------------------	-------------	---------------------------------------

Application Serial No.	Filing Date	Status (patented, pending, abandoned)
------------------------	-------------	---------------------------------------

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith; Lawrence R. Radanovic, Reg. No. 23,077; Richard H. Tushin, Reg. No. 27,297; Donald N. Huff, Reg. No. 27,581; John P. DeLuca, Reg. No. 25,505; Charles Rutherford, Reg. No. 18,933; Robert L. Kelly, Reg. No. 31,843; Kevin M. Hinman, Reg. No. 35,193; Ernest E. Helms, Reg. No. 29,721; William F. Kolakowski, Reg. No. 41,908; and John F. Buckert, Reg. No. 44,572, all of Dykema Gossett, P.L.L.C. Direct all telephone calls to telephone no. (202) 522-8600 and faxes to (202) 522-8669.

Address all correspondence to Dykema Gossett, P.L.L.C., Suite 300 West, 1300 I Street, N.W., Washington, D.C. 20005-3306.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole, First Inventor	Inventor's Signature	Date
Nobuhiro SADATOMI	Nobuhiro Sadatomi	November 2, 2000
Residence:		Citizenship
23-8-104, Sho 1-chome, Ibaraki-shi, OSAKA 567-0806 JAPAN		Japanese
Post Office Address:		
Same as residence		

Full Name of Second, Joint Inventor <b>Osamu YAMASHITA</b>	Inventor's Signature <i>Osamu Yamashita</i>	Date November 2, 2000
Residence: 5-44, Minamikasugaoka 5-chome, Ibaraki-shi, <u>OSAKA</u> 567-0046 JAPAN	<i>JPX</i>	Citizenship Japanese
Post Office Address: Same as residence		

Full Name of Third, Joint Inventor <b>Tsunekazu SAIGO</b>	Inventor's Signature <i>Tsunekazu Saigo</i>	Date November 2, 2000
Residence: 1-20-206, Amamiminami 5-chome, Matsubara-shi, <u>OSAKA</u> 580-0033 JAPAN	<i>JPX</i>	Citizenship Japanese
Post Office Address: Same as residence		

Full Name of Forth, Joint Inventor <b>Masao NOUMI</b>	Inventor's Signature <i>Masao Nomi</i>	Date November 2, 2000
Residence: 5-16, Yamashita-cho, Kawanishi-shi, <u>HYOGO</u> A666-0106 JAPAN	<i>JPX</i>	Citizenship Japanese
Post Office Address: Same as residence		